

# The Absolute Radiance Interferometer (ARI) for the CLARREO Pathfinder: Instrument Status and Demonstrated Performance

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<sup>c</sup> *Harvard University*

GSICS 2017

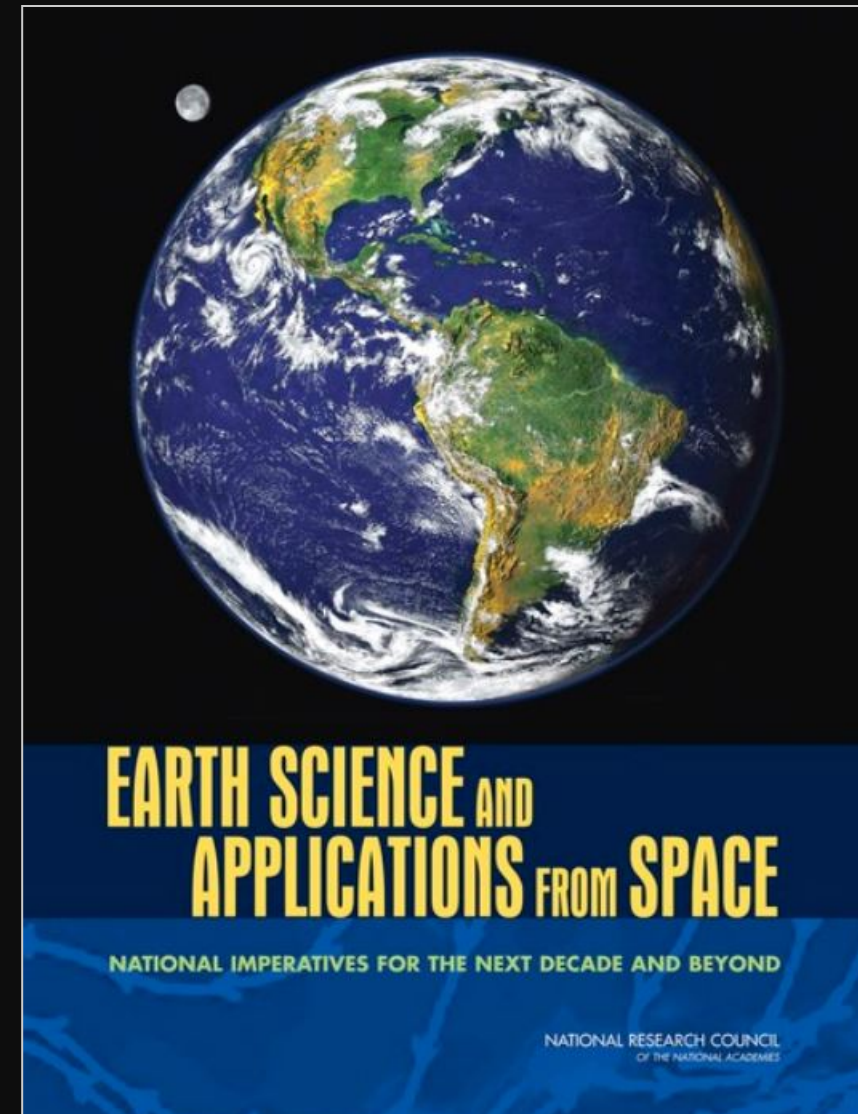


- Introduction
- The UW-SSEC Absolute Radiance Interferometer
- Instrument Status and Demonstrated Performance
- Conclusion

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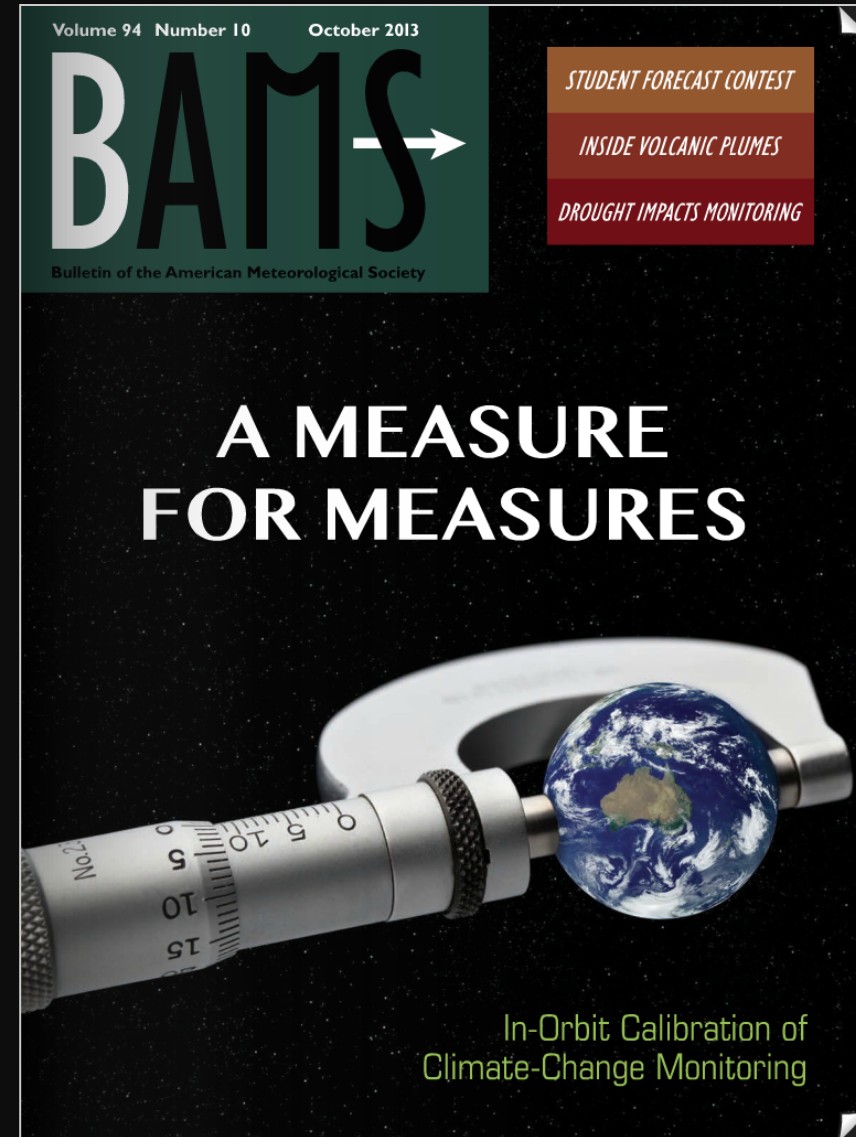
# CLARREO (2007 Decadal Survey Tier 1 Mission)

- CLARREO (Climate Absolute Radiance & Refractivity Observatory)  
a 2007 Decadal Survey Tier 1 mission
  - IR & Reflected Solar spectra coupled with GPS RO offer
    1. unprecedented measurement accuracy,  
0.1 K IR Brightness Temp, 0.4 % RS radiance, and  
0.1 % GPS RO refractivity 5-20 km  
(all  $k = 3$ , proven on orbit with SI standards)
    2. unbiased spatial and temporal sampling
    3. much higher climate change sensitivity  
than existing CERES-type climate records
  - Metrology lab on-orbit serves as "NIST in orbit"
- CLARREO to Benchmark Earth's climate
  - Analogous to marking a glacier's current extent
  - > 5 year missions repeated every 10-15 years
- CLARREO as an Inter-calibration Standard
  - GSICS (Global Space-based Inter-Cal System)
  - e.g. Greatly enhancing the value of the climate record  
from high spectral resolution IR sounders starting in 2002  
(AIRS, IASI, CrIS...) and RS sensors



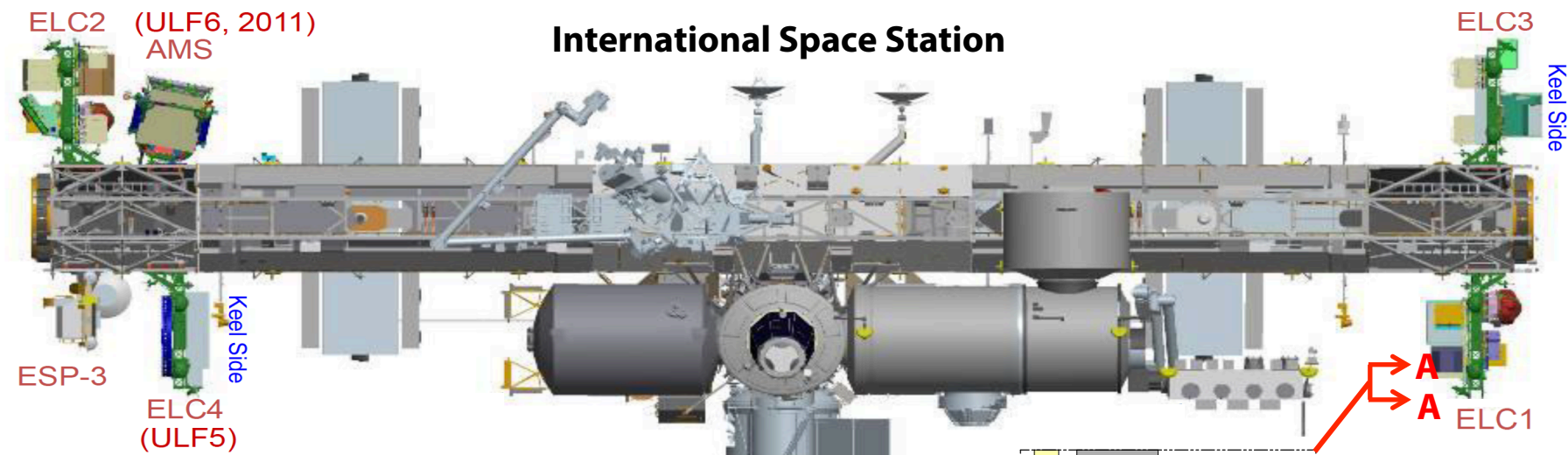
# NASA CLARREO Timeline and Status

- 2008-2010 CLARREO – following the DS, NASA assigned mission responsibility to Langley Research Center (LaRC)
  - A Science Team formed and Mission Concept Review passed in November 2010
  - IR and Reflected Solar instrument development conducted under NASA Instrument Incubator Program (IIP) and calibration system studies
- 2011 CLARREO: funding profile removed from the president's budget on 14 February
- 2011 – present: CLARREO – Science Team studies continue. Wielicki et al., BAMS cover in 2013
- 2012-2014 New Instrument Technologies -Achieved TRL 6 under NASA Instrument Incubator Program (IIP) / ESTO support of LASP for RS and UW-SSEC & Harvard for the IR
- 2013-2016 Pathfinder Concept – for flight on International Space Station (ISS) developed

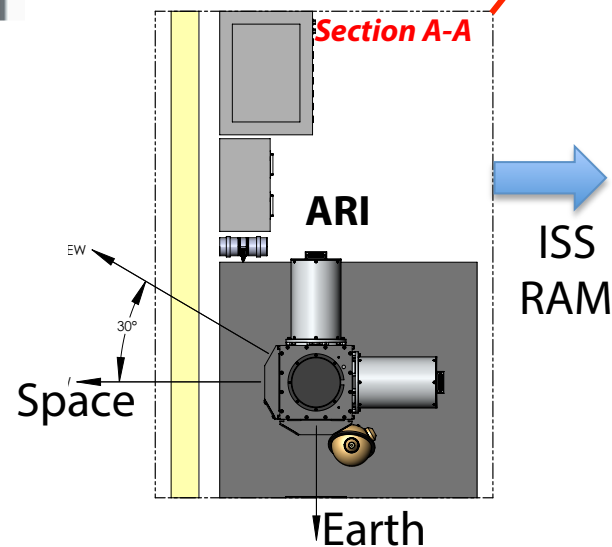
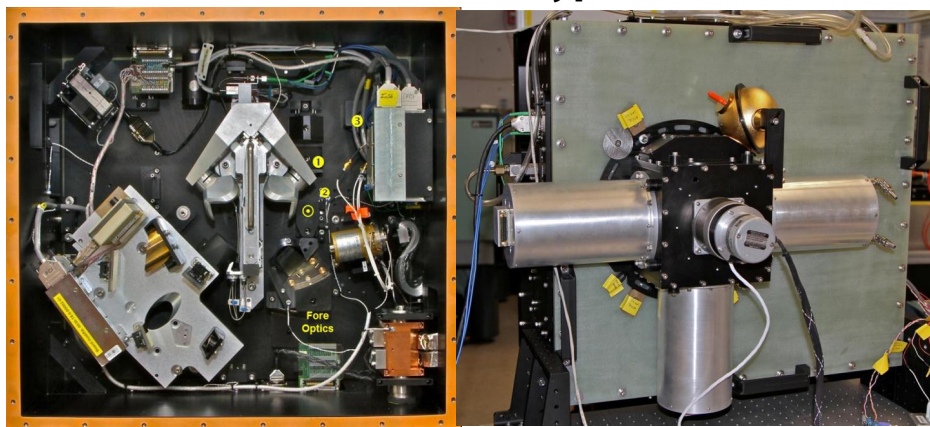


*Wielicki et al.*

# ISS Pathfinder for CLARREO



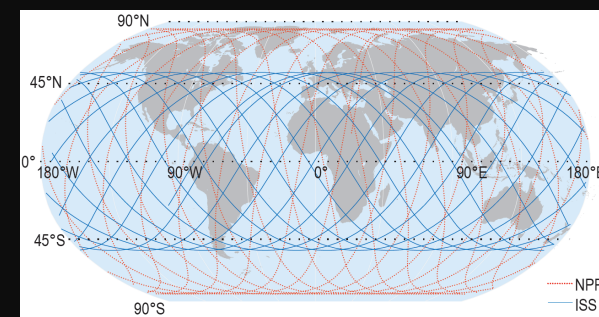
**ARI Lab Prototype**



# Value of an Infrared ISS Pathfinder for CLARREO

*An IR Prototype on ISS will provide, not only a tech demo for CLARREO cost and technical risk reduction, but also the start of an accurate climate benchmark, identified as critically important in the 2007 Decadal Survey.*

- Measurement Accuracy: ARI has demonstrated the ability to fully meet the CLARREO 0.1 K 3-sigma requirement over the required spectral range, including the Far IR out to 50 microns.
- Sampling Requirements: Needs for an initial benchmark (unbiased temporal and spatial sampling) are met by ISS below 52 degrees latitude.
- Intercalibration: Use of the AIRS (on EOS Aqua) and CrIS (on Suomi NPP) at 0130/1330 local times, IASI (on EUMETSAT MetOp A and B) at 0930/2130 local times, and likely the Chinese sounder on (FY3E) at 0530/1730, provide good sampling to extend the benchmark to high latitudes for all but the Far IR portion of the spectrum.
- Lifetime: No fundamental life limiting components are required for the sensor, and with ISS life extended until 2024 there is a good chance of creating the 5 year record needed for a credible benchmark.



# ISS Pathfinder for CLARREO

## US President's FY2016 Budget

- Included a Pathfinder mission to kickoff CLARREO!
- “The CLARREO Pathfinder mission will demonstrate essential measurement technologies; validate the high accuracy radiometry required for long-term climate studies in support of other Decadal Survey and land imaging missions; and initiate measurements that will benchmark the shortwave reflectance and infrared climate record.”
- “NASA plans to host the two CLARREO Pathfinder instruments, Reflected Solar (RS) and Infrared (IR) spectrometers, on the International Space Station in FY 2019.” (budget \$77 M)



# ISS Pathfinder for CLARREO

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- “NASA plans to start with the Reflected Solar, Infrared Pathfinder

Unfortunately, NASA has now decided that both instruments are not affordable at this level of funding and plans to start with the Reflected Solar. Ways to also conduct an Infrared Pathfinder are being sought.

# ISS Pathfinder for CLARREO

## US President's FY2016 Budget

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- “NASA  
Refle  
Inter

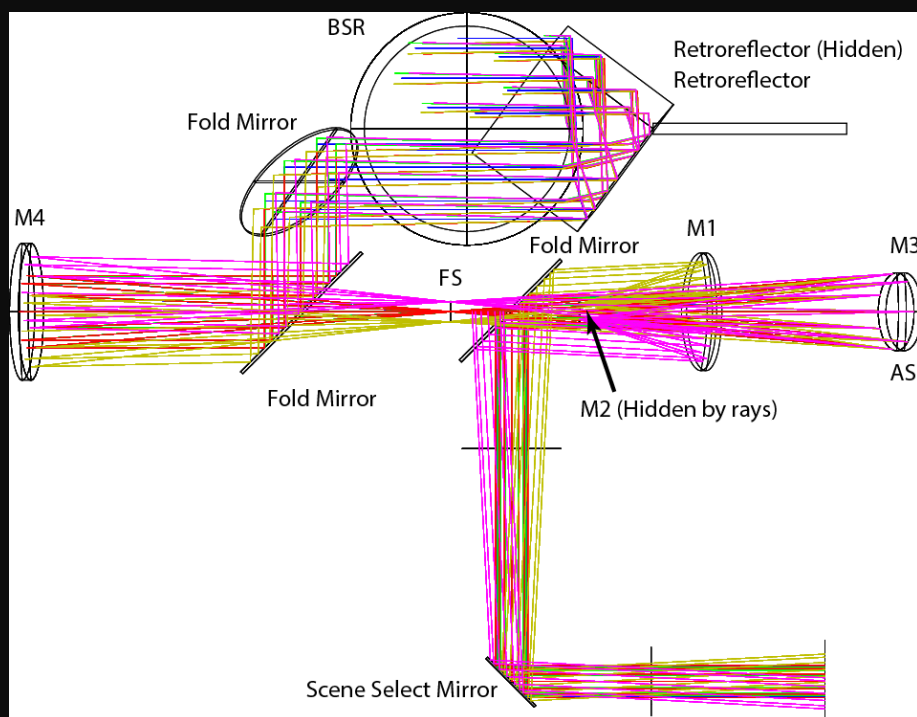
America First, A Budget Blueprint to Make America Great Again (2017): “The Budget terminates four Earth science missions (PACE, OCO-3, DSCOVR Earth-viewing instruments, and CLARREO Pathfinder) and reduces funding for Earth science research grants.”

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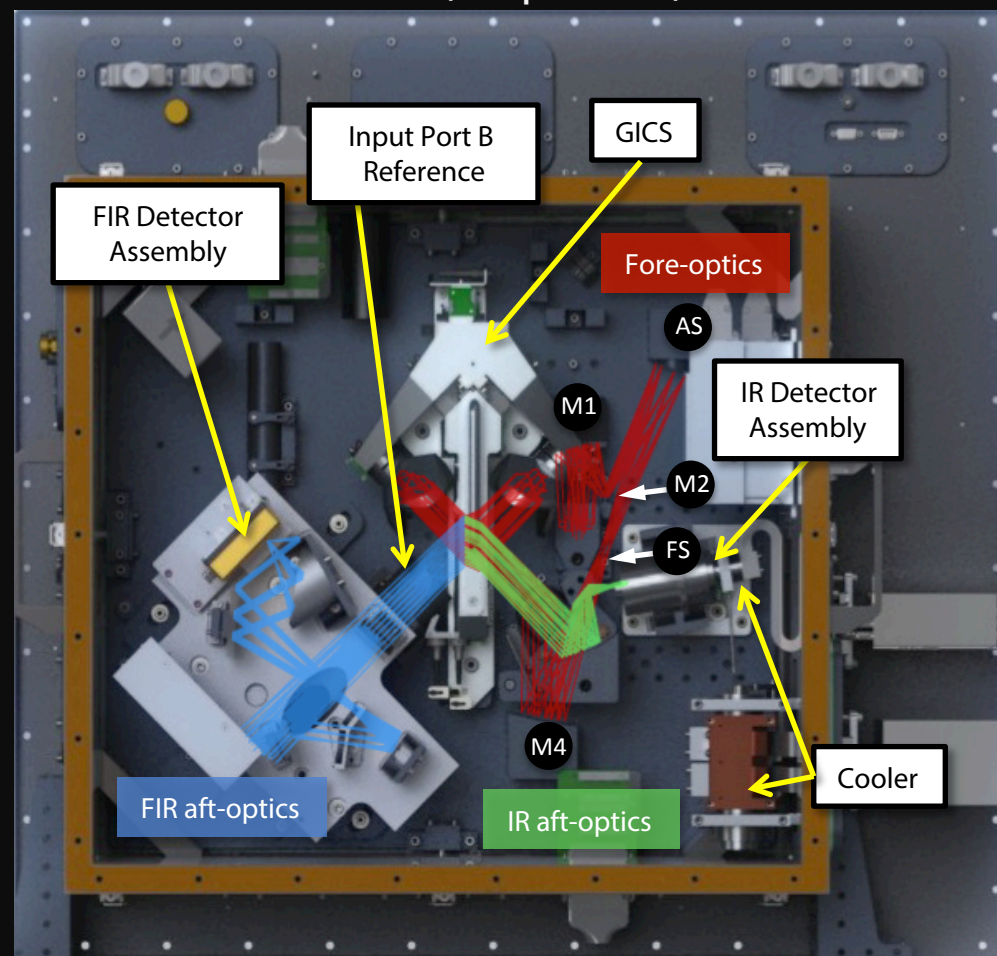
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# UW-SSEC Absolute Radiance Interferometer (ARI)

## Fore-optics ("Side View")

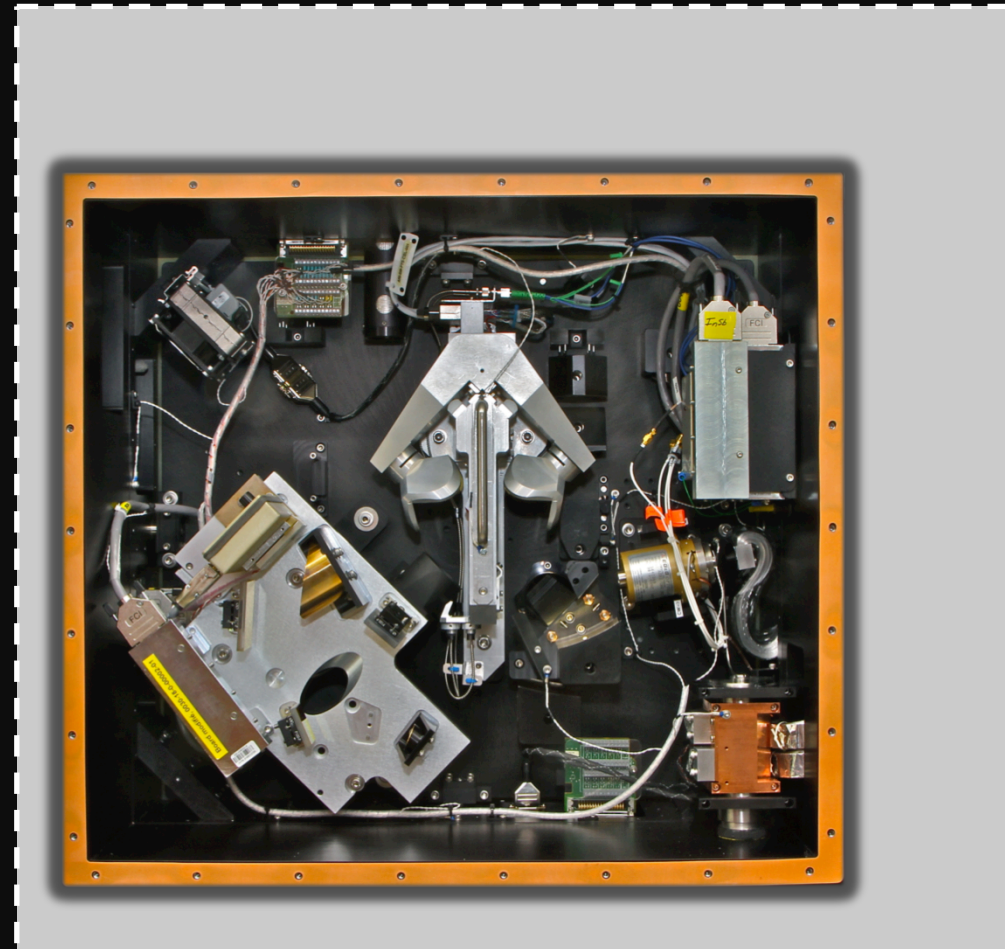
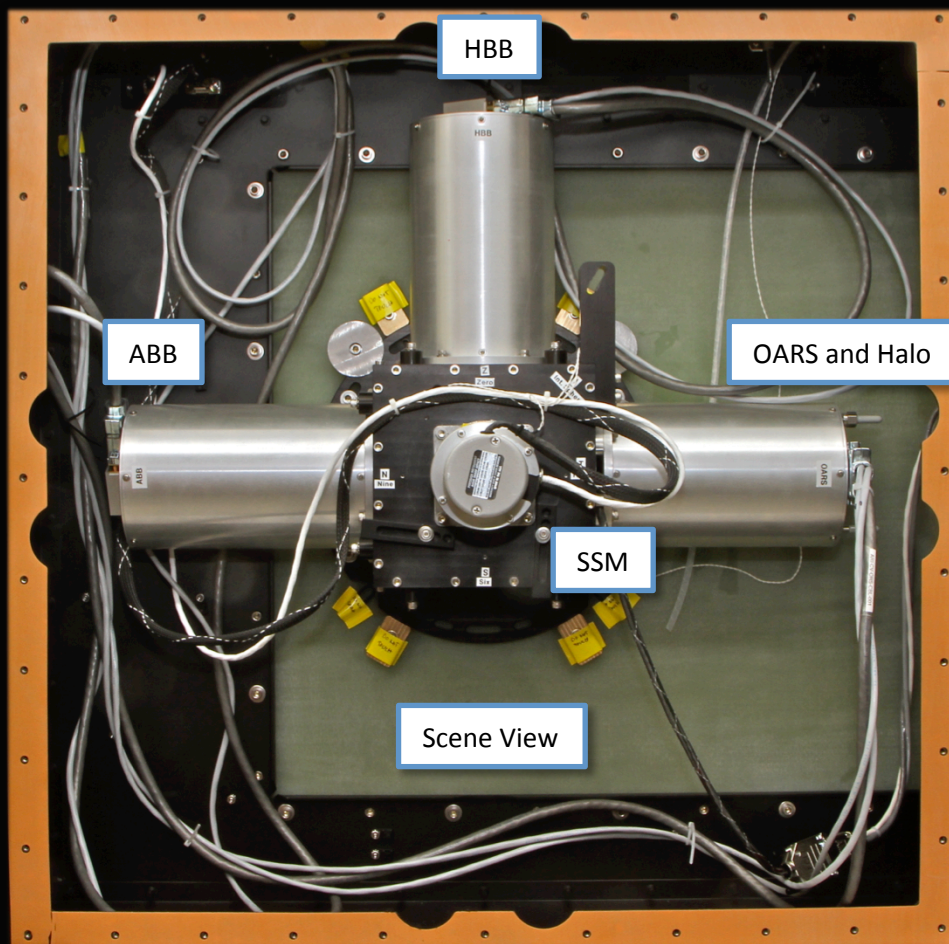


## Fore-optics and aft-optics overlaid on solid model ("Top View")



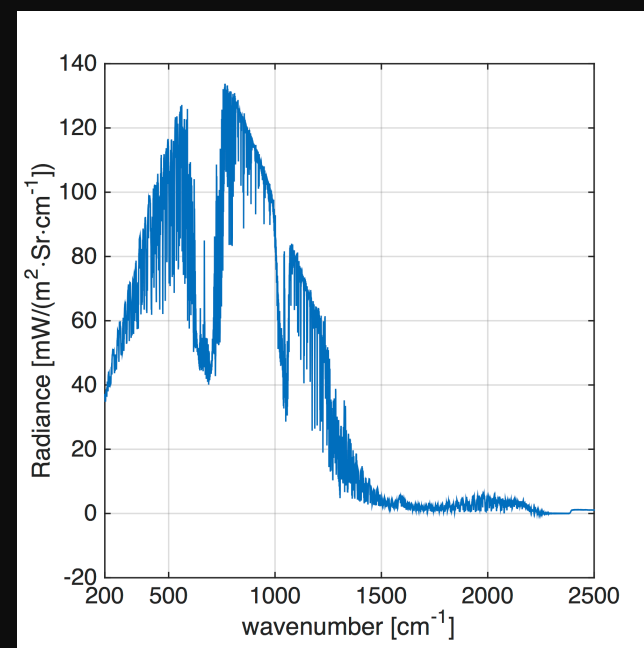
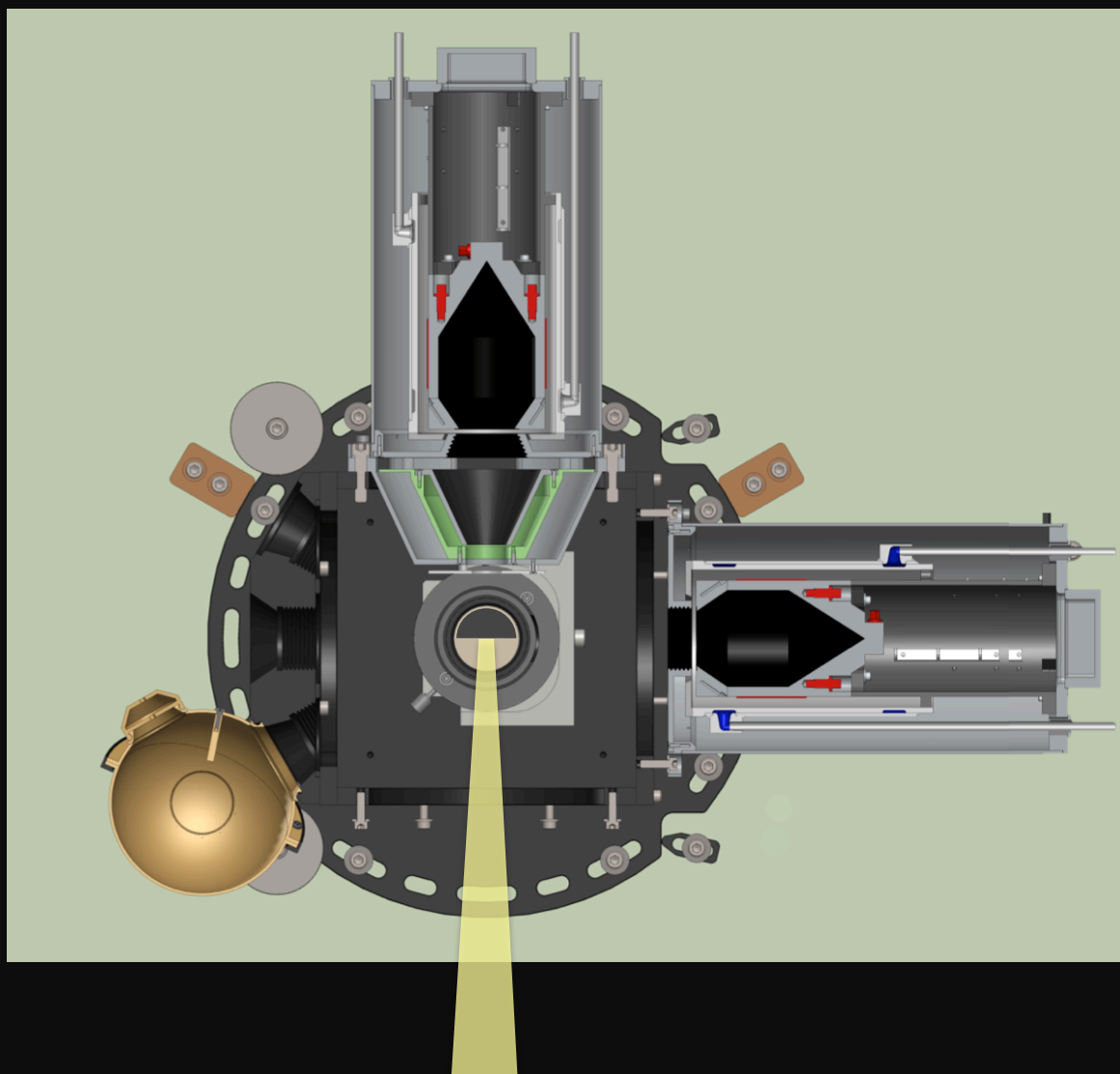
- Pupil at cube-corner apex (and near halo)
- FS image at M2

# UW-SSEC Absolute Radiance Interferometer (ARI)

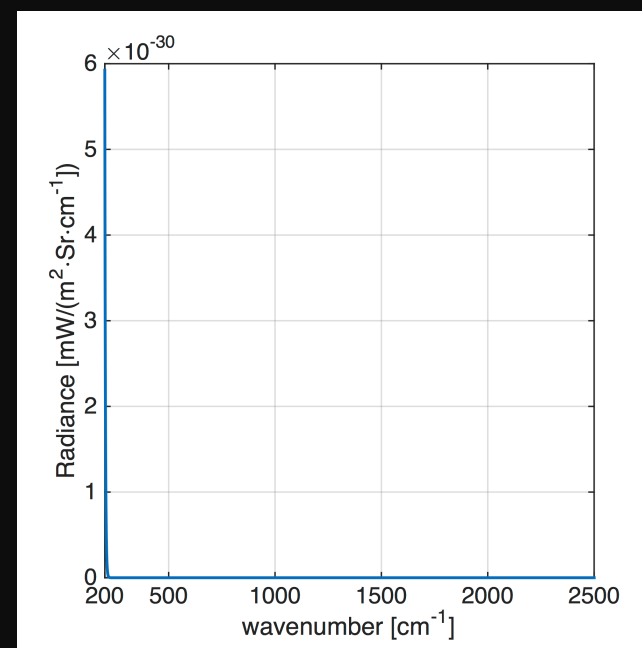
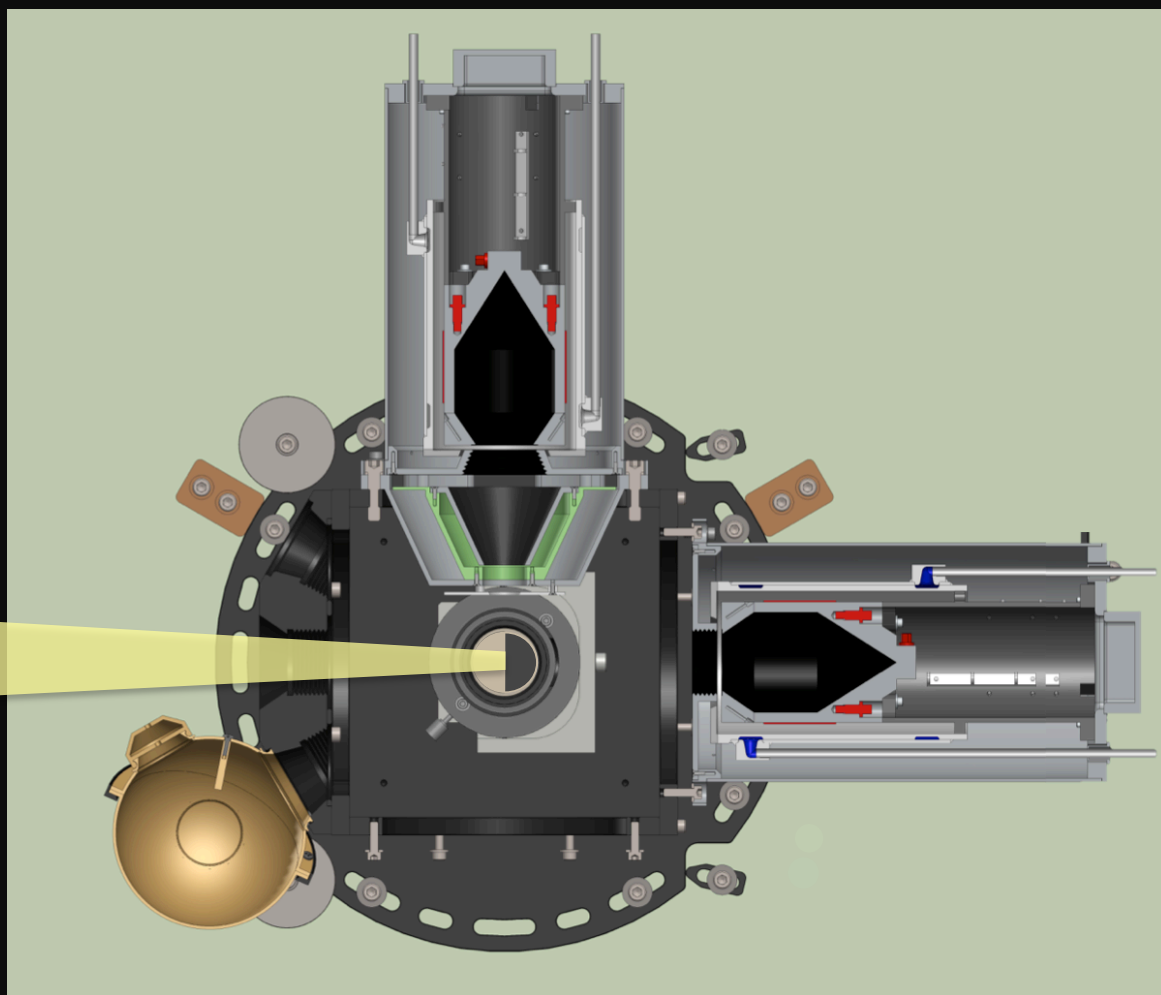


Dashed line indicates OTV enclosure envelope.

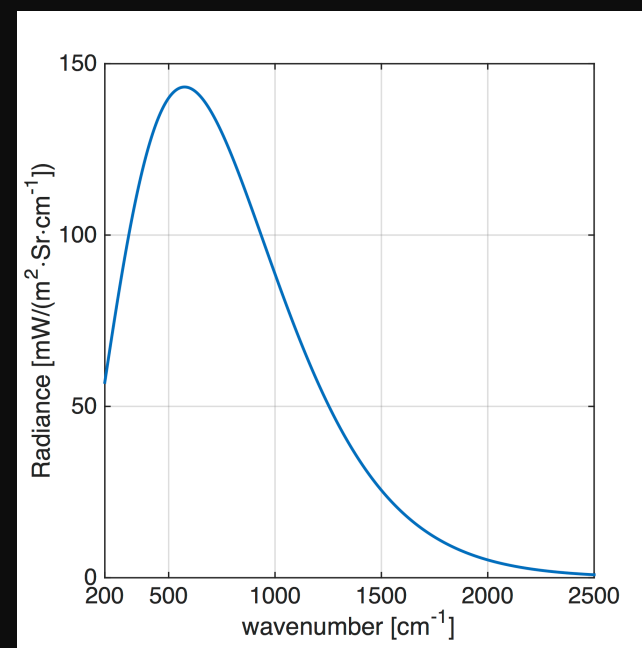
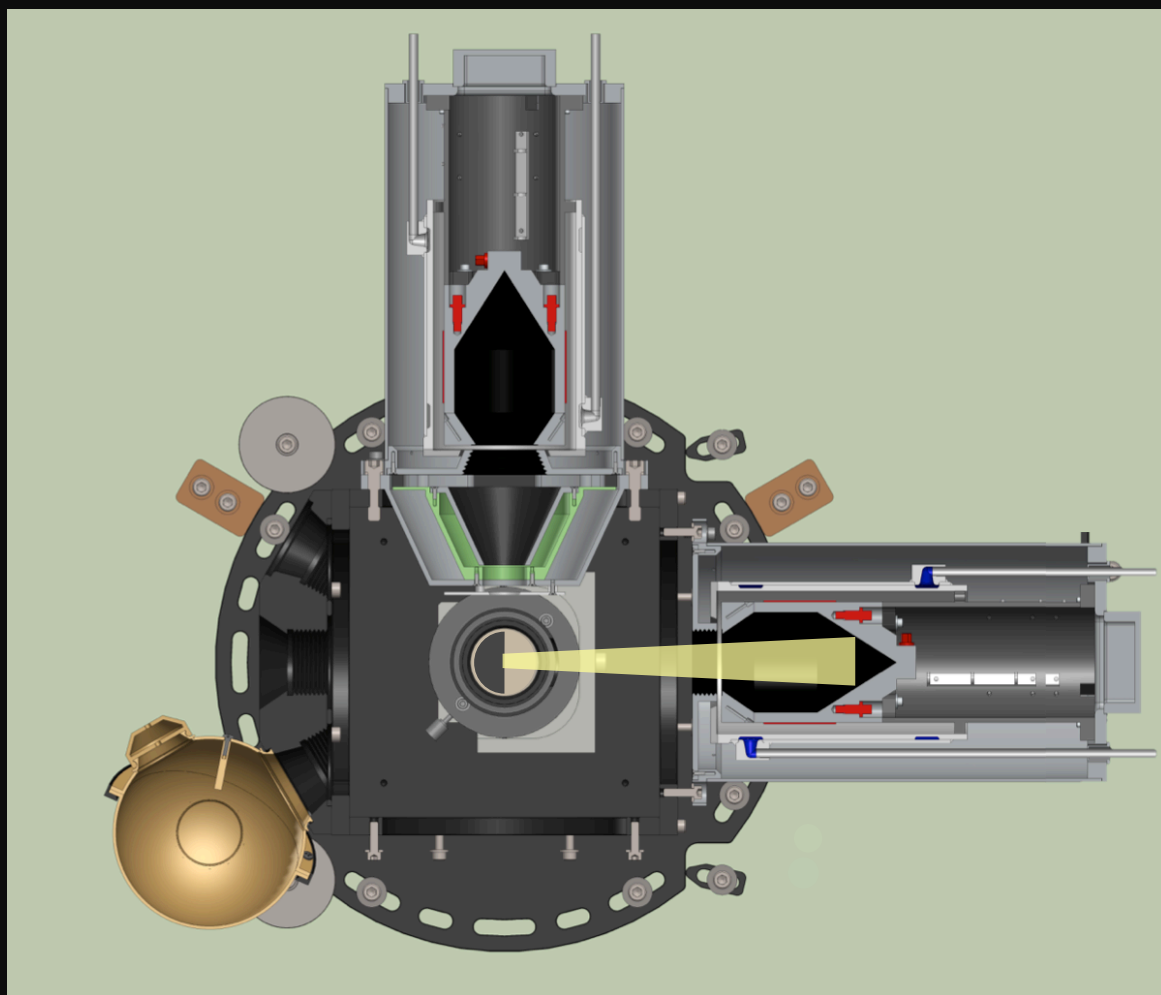
# Calibrated FTS: Earth View



# Calibrated FTS: Space Calibration View



# Calibrated FTS: Ambient Blackbody (ABB)

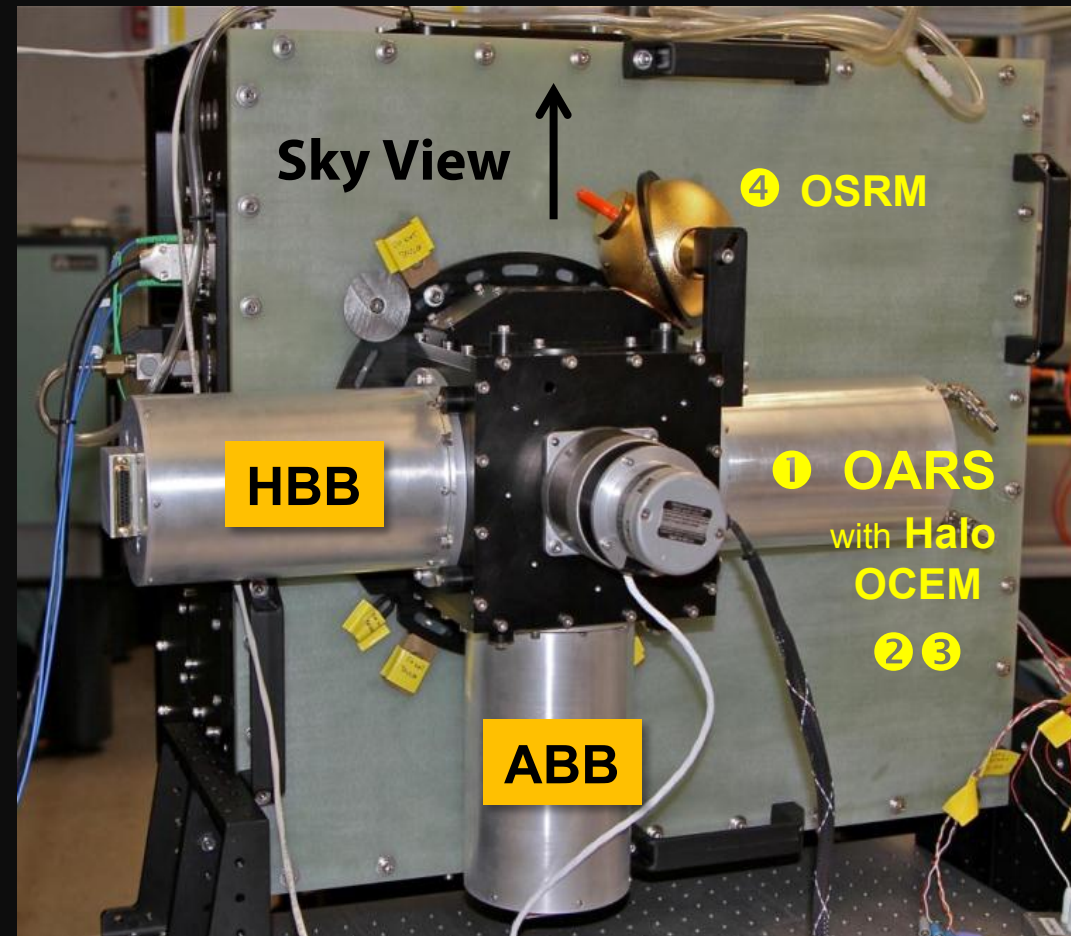




# On-orbit Verification and Test System (OVTS) Technologies

- ① On-orbit Absolute Radiance Standard (OARS) cavity blackbody using three miniature phase change cells to establish the temperature scale from -40, to +30 C to better than 10 mK
- ② On-orbit Cavity Emissivity Module (OCEM) using Heated Halo source allowing the FTS to measure the broadband spectral emissivity of the OARS to better than 0.001
- ③ OCEM-QCL\* using a Quantum Cascade Laser source to monitor changes in the mono-chromatic cavity emissivity of the OARS & Cal BB to better than 0.001
- ④ On-orbit Spectral Response Module\* (OSRM) QCL used to measure the ILS

## OVTS Sources



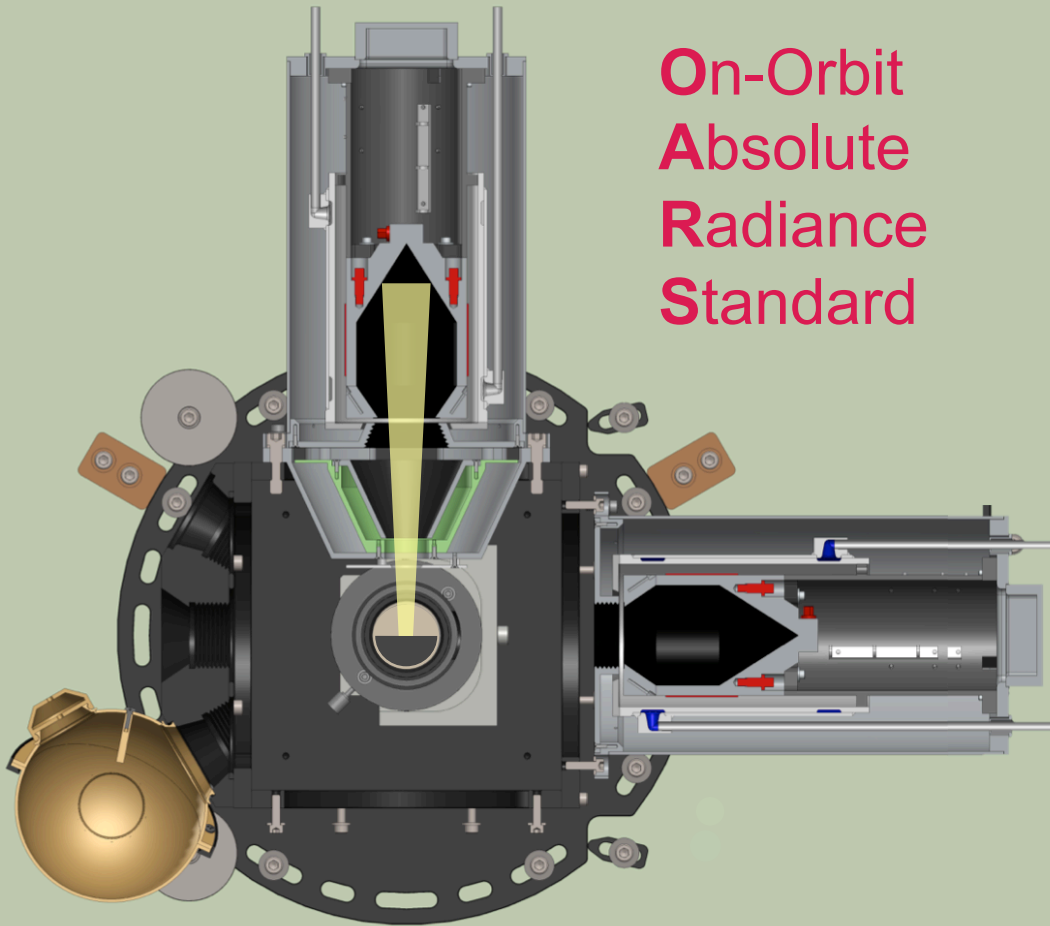
**Calibrated FTS Blackbodies  
(HBB & ABB)**

*All components at flight scale*

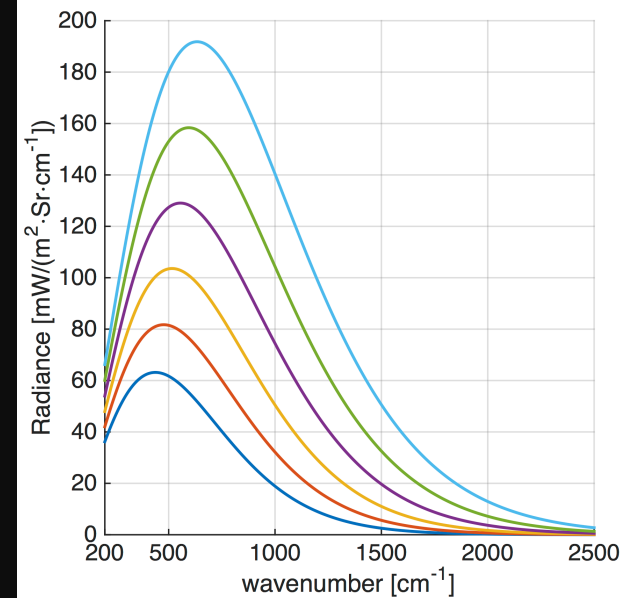
*\* QCL functions demonstrated by Harvard separately*

# OVTs: View of the Variable Temperature OARS

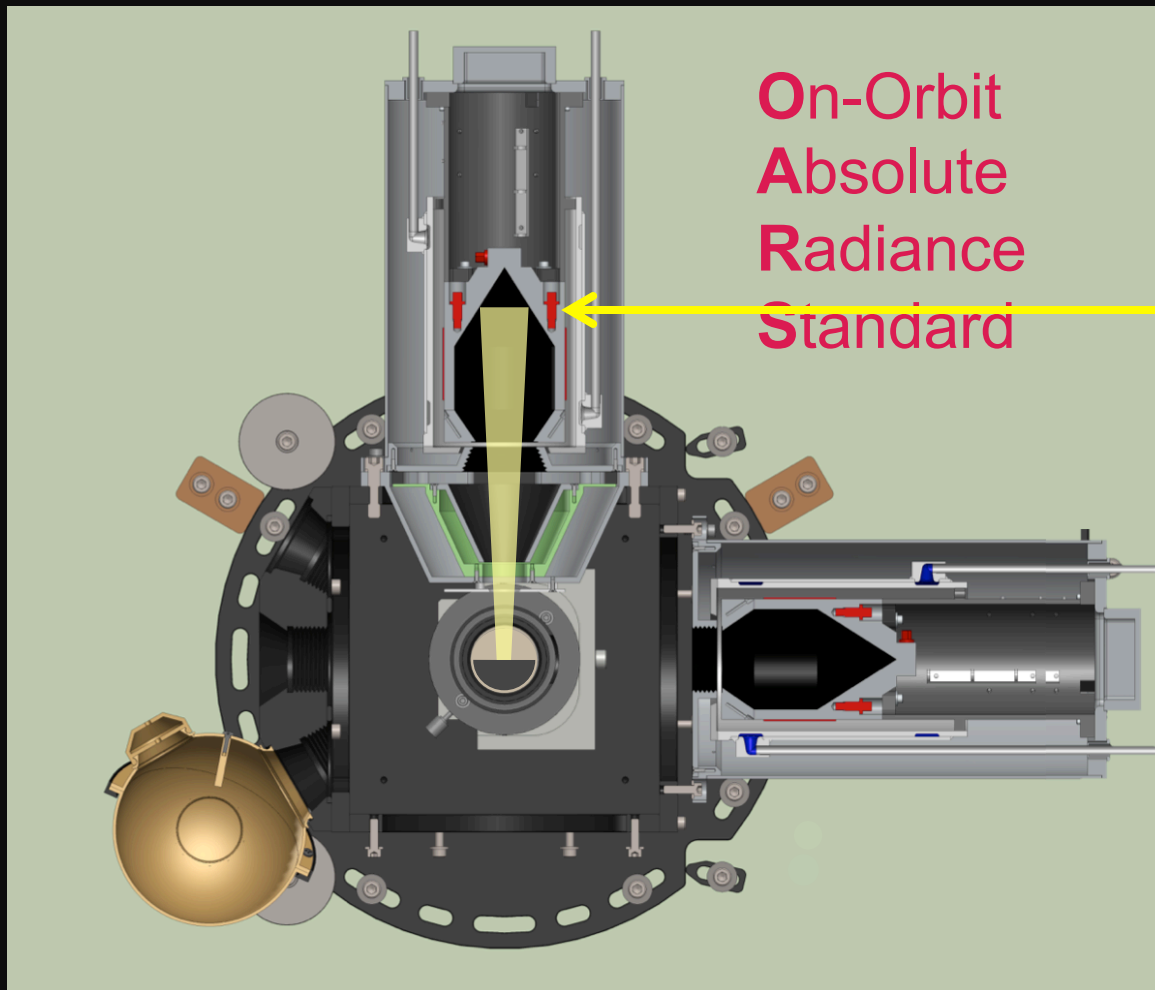
On-Orbit  
Absolute  
Radiance  
Standard



The OARS is controllable to a wide range of temperatures to provide an “absolute radiance” and assess instrument linearity

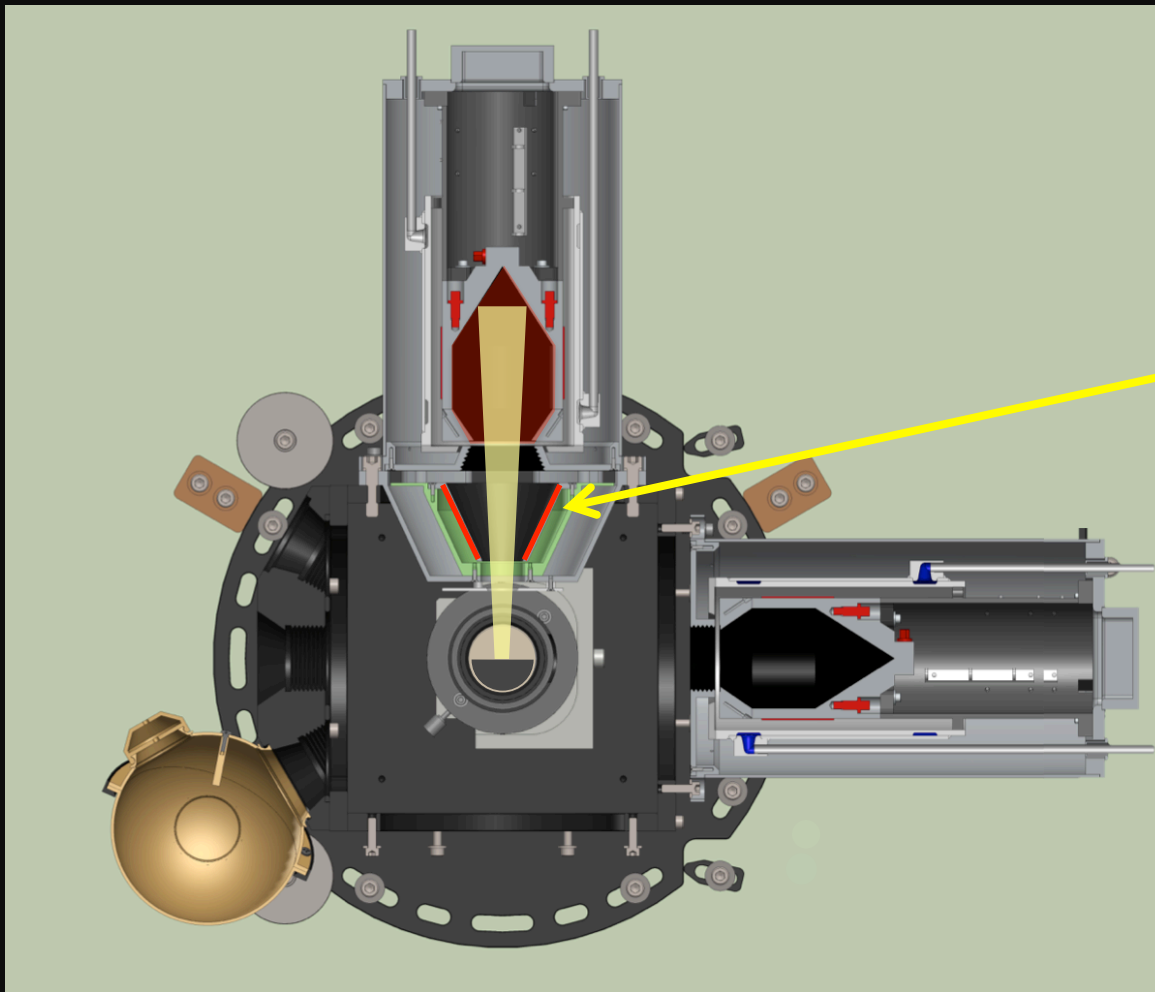


# OVTs: View of the Variable Temperature OARS



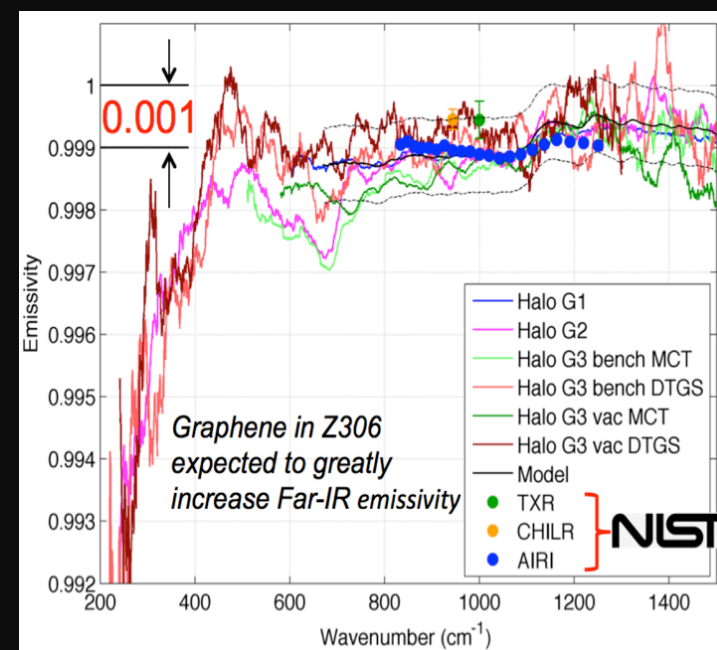
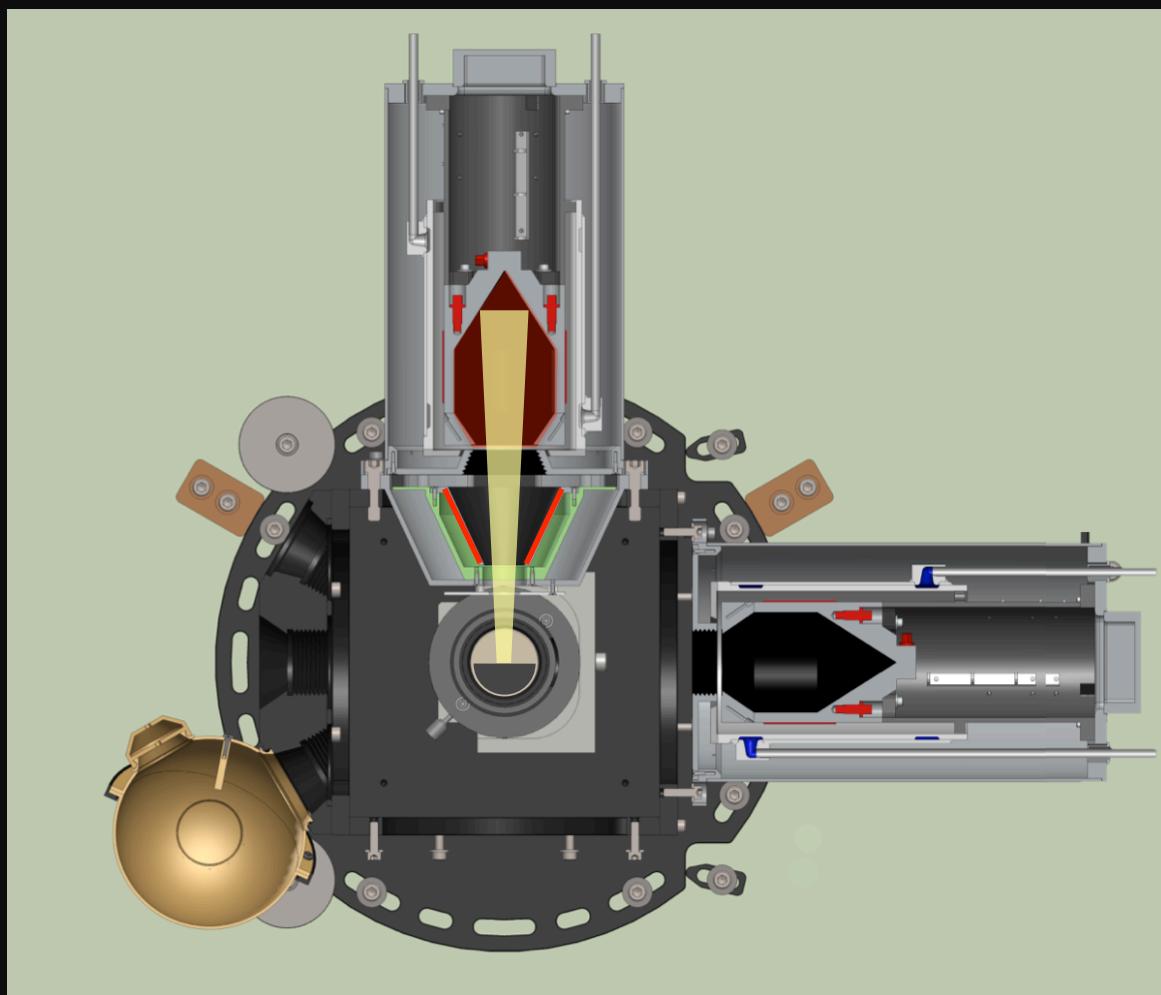
The OARS has 3 different miniature phase change cells containing Ga, H<sub>2</sub>O, and Hg, used for periodic temperature calibration at 303.15, 273.15, and 235.15K

# OVTs: OARS Spectral Emissivity – Heated Halo

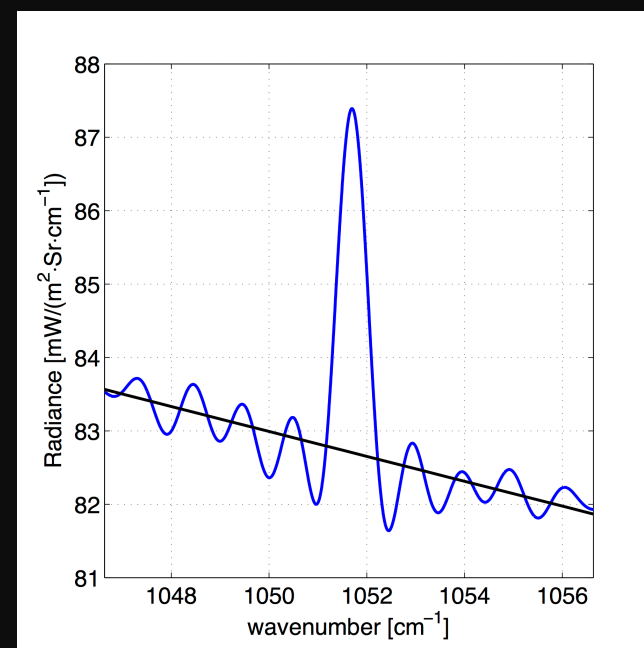
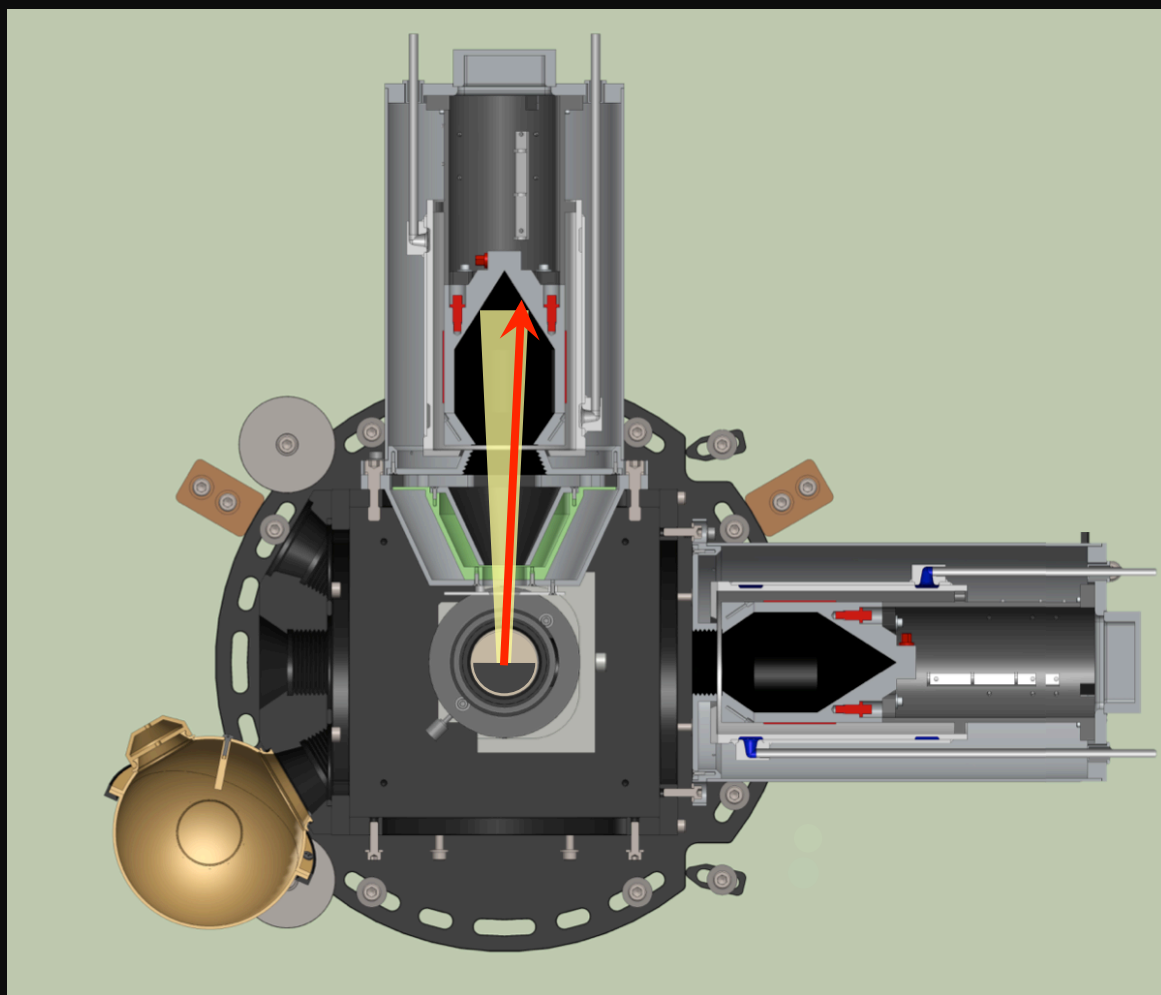


The Heated Halo is used periodically for measuring the blackbody cavity spectral emissivity.

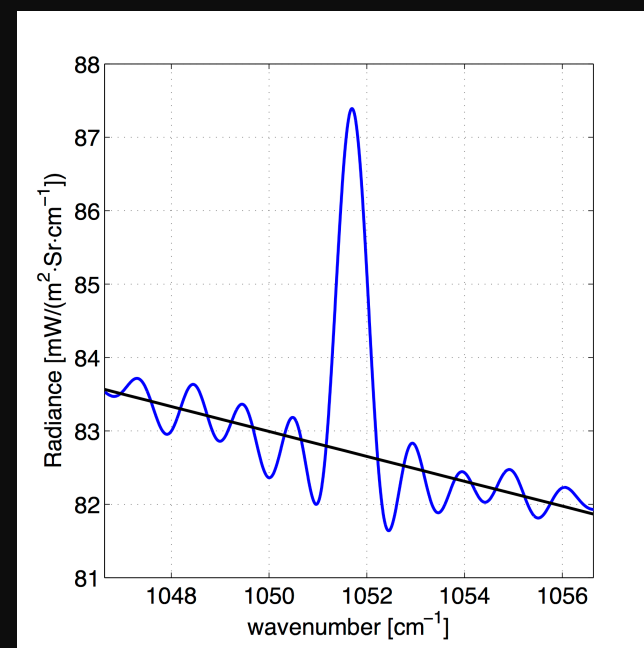
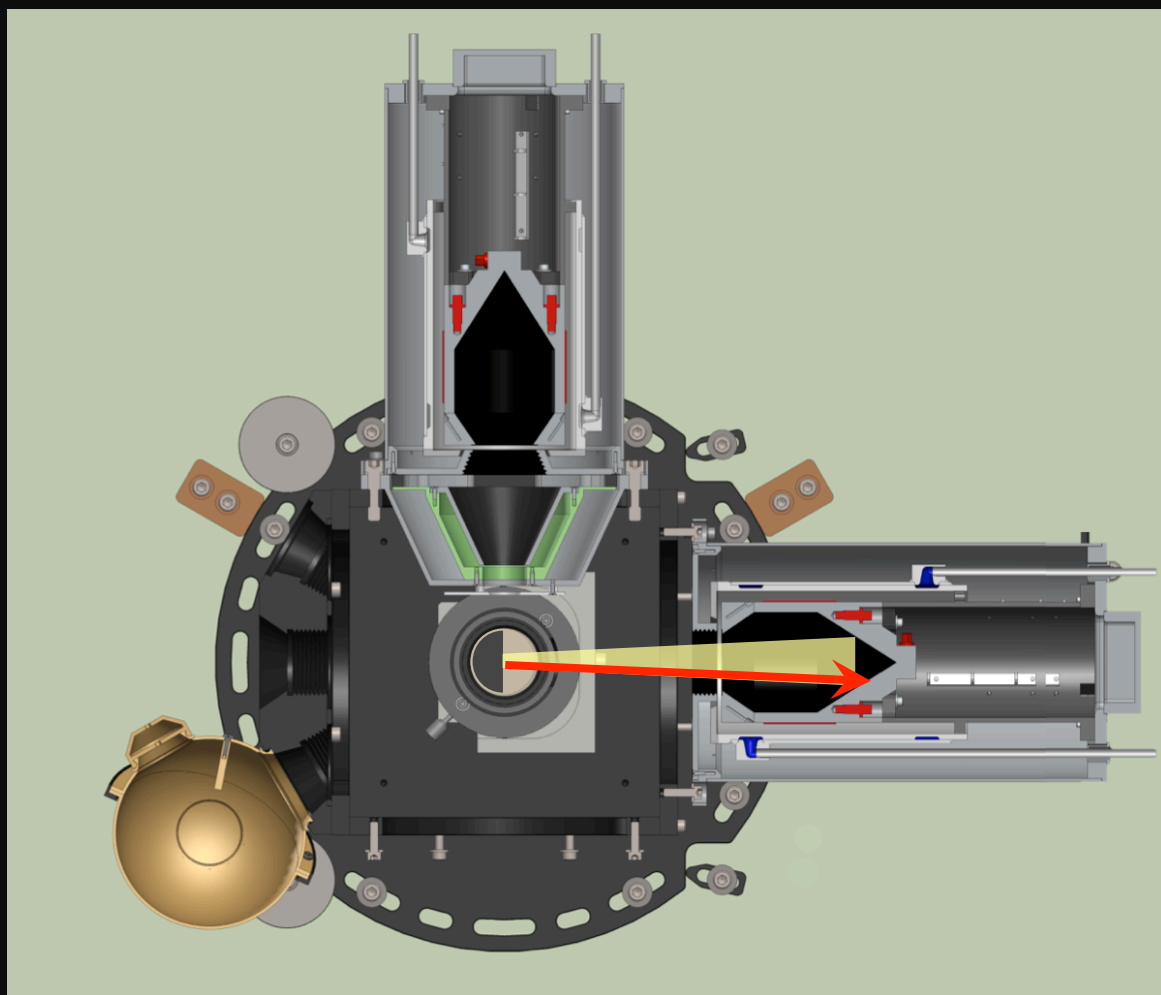
# OVTS: OARS Spectral Emissivity – Heated Halo



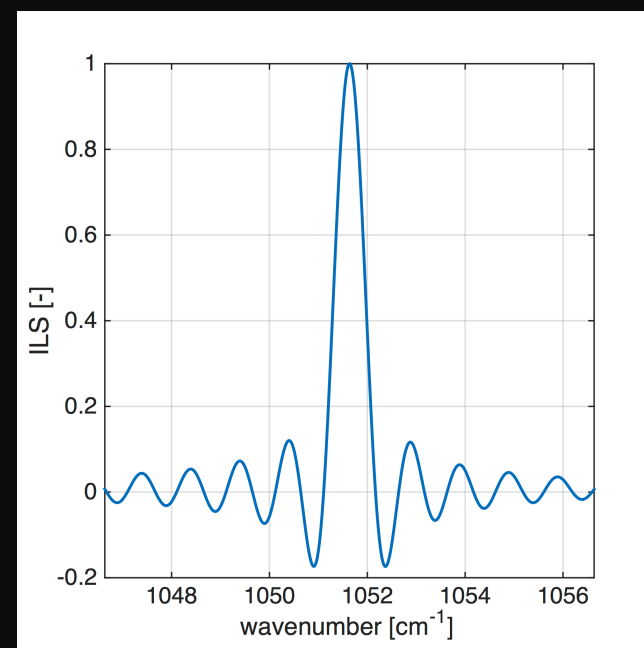
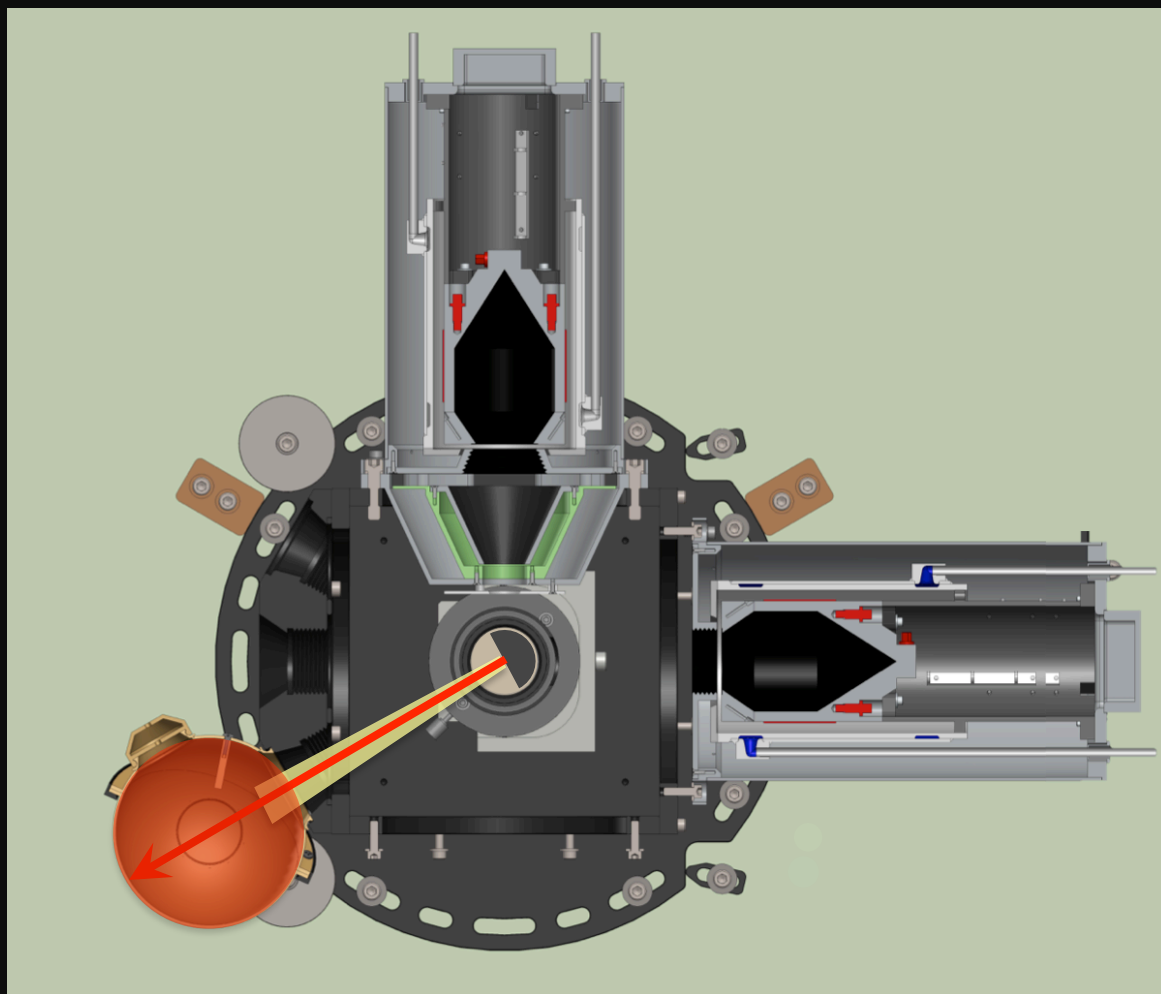
# OVTS: OARS Emissivity – QCL



# OVTs: ABB Emissivity – QCL



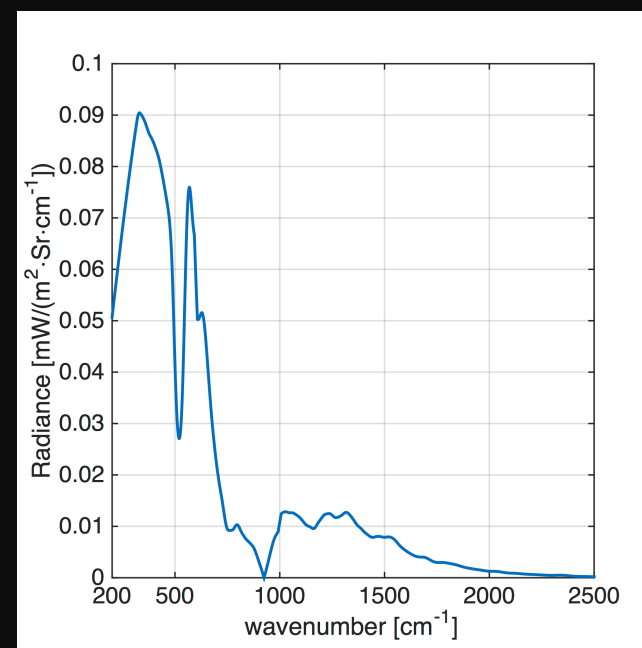
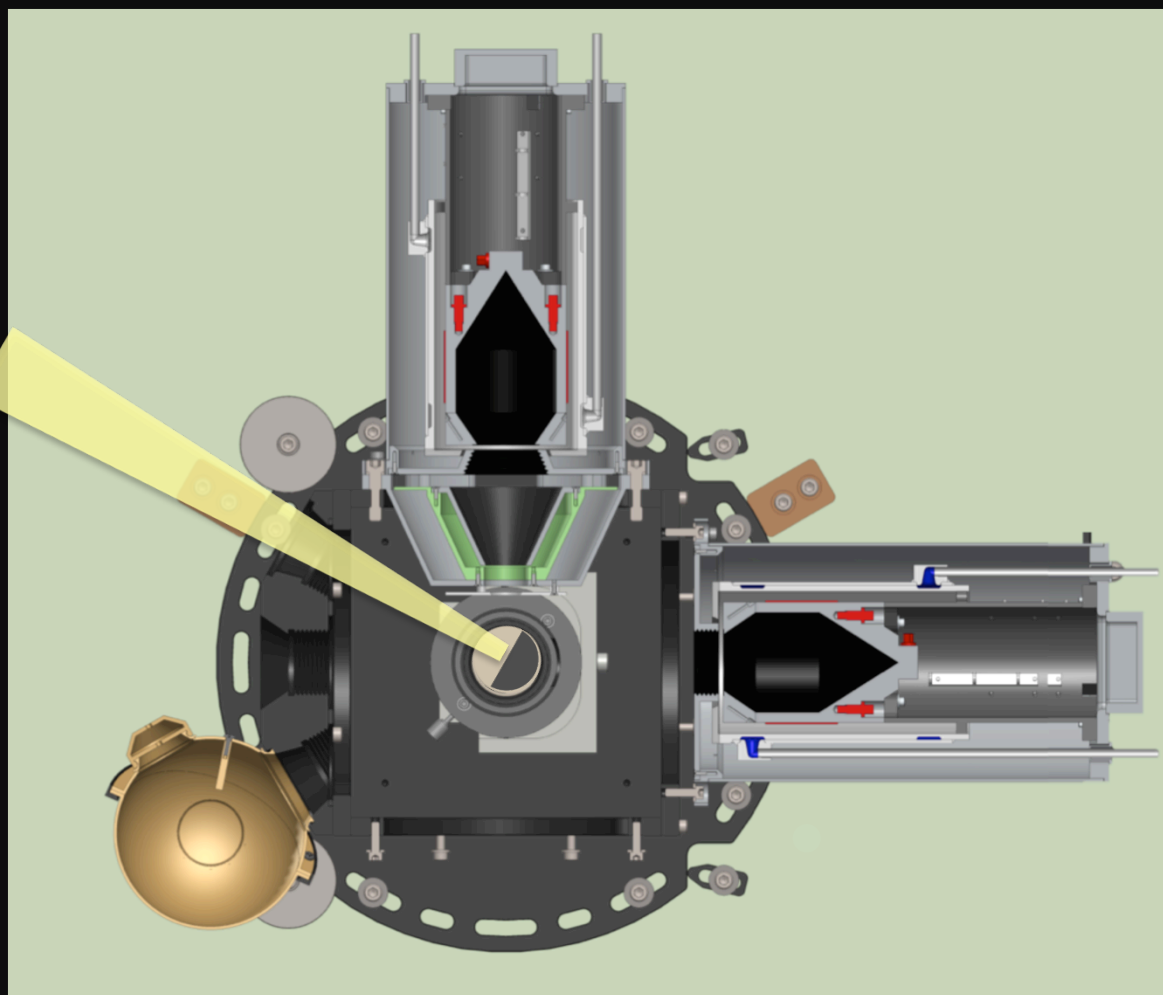
# OVTS: Instrument Line Shape - QCL





# OVTs: Space-2 View

Used to characterize polarization\*

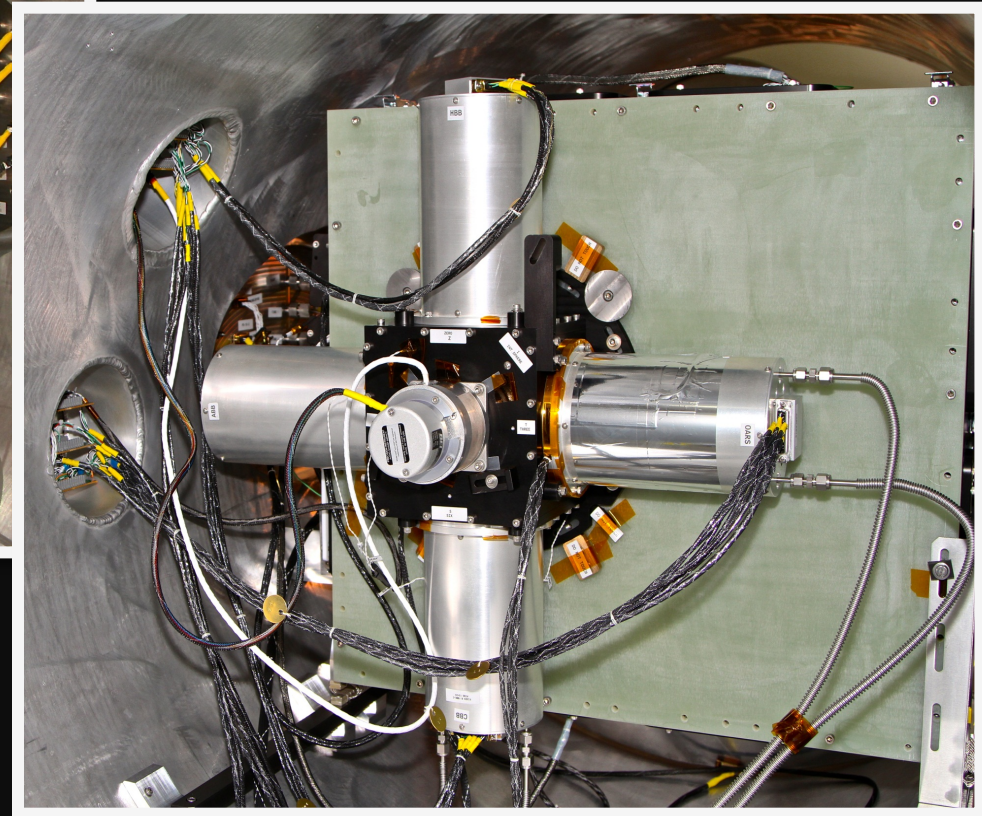
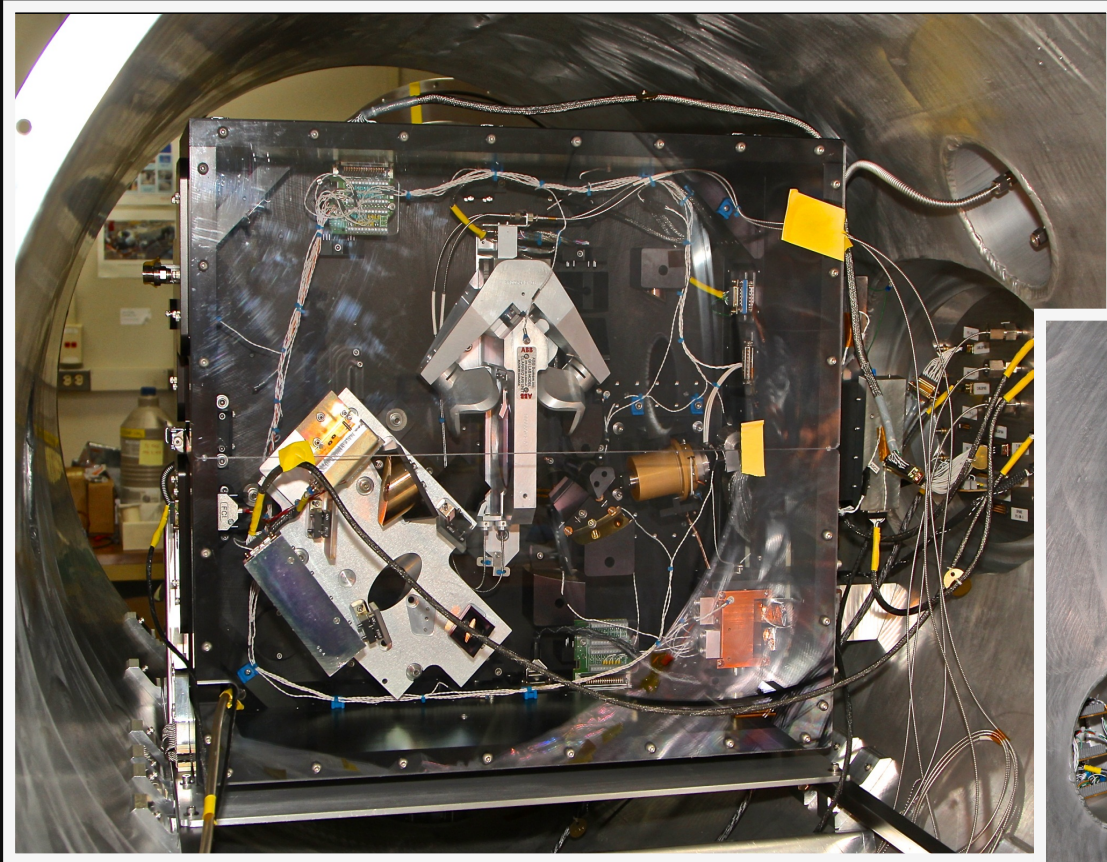


\*Instrument optical design provides immunity to polarization for Earth, OARS, Space 1, and ABB Views

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- Standard metrology methods (JCGM 100:2008. GUM) used to assess radiometric uncertainty assessment for instrument calibration and on-orbit calibration validation systems for laboratory, vacuum, and expected on-orbit environments
- The Instrument was tested end-to-end under vacuum and in the presence of the expected on-orbit thermal environment, bringing the TRL to 6.
- The ability to achieve the 0.1 K (99% confidence,  $k = 3$ ) on-orbit measurement accuracy required for climate benchmark measurements in the infrared and far infrared was *successfully demonstrated*
- Extensive characterization of the instrument performance has been completed (nonlinearity, polarization, low SNR calibration effects, miniature phase change cell performance, ...)
- Successful demonstration of the enabling technologies for the CLARREO IR

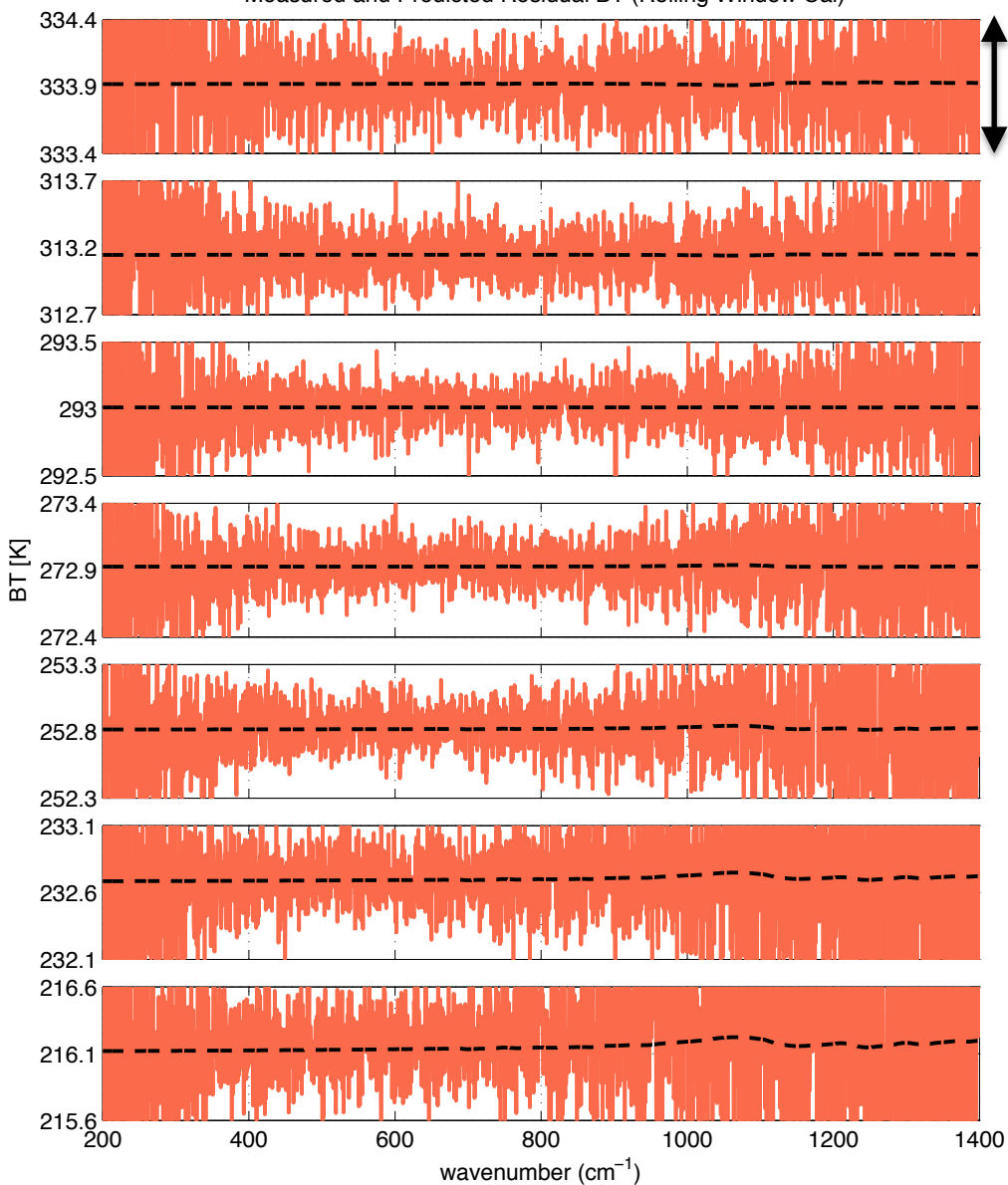
# Vacuum Testing: Demonstration of Required Radiometric Accuracy



# Demonstration of Required Radiometric Accuracy, DTGS

FIR ARI Calibration Verification Summary,  
Measured and Predicted Residual BT (Rolling Window Cal)

$\pm 0.5K$

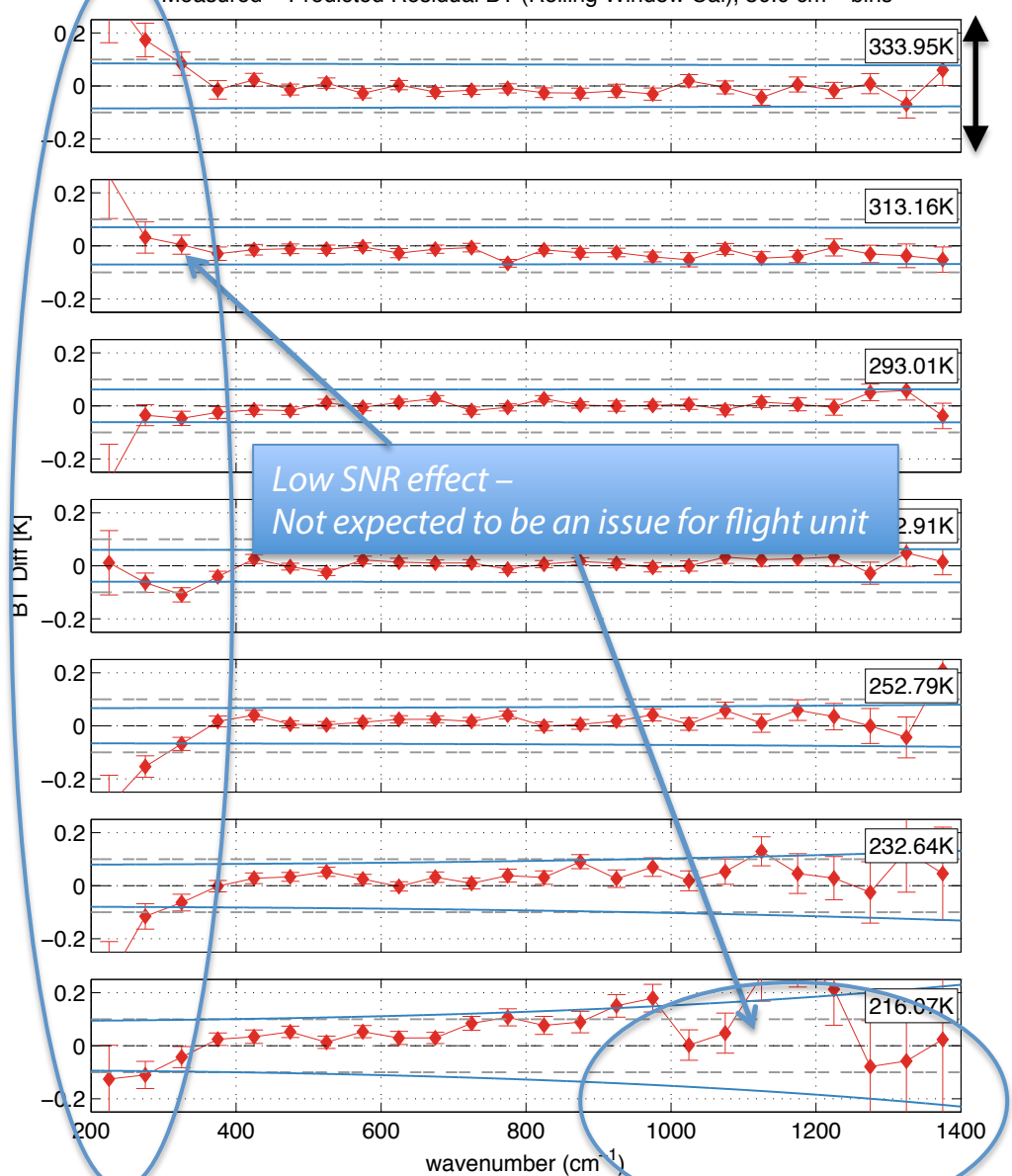


— BT Obs - - - BT Pred

Observed and Predicted

FIR ARI Calibration Verification Summary

Measured - Predicted Residual BT (Rolling Window Cal),  $50.0\ cm^{-1}$  bins  $\pm 0.25K$

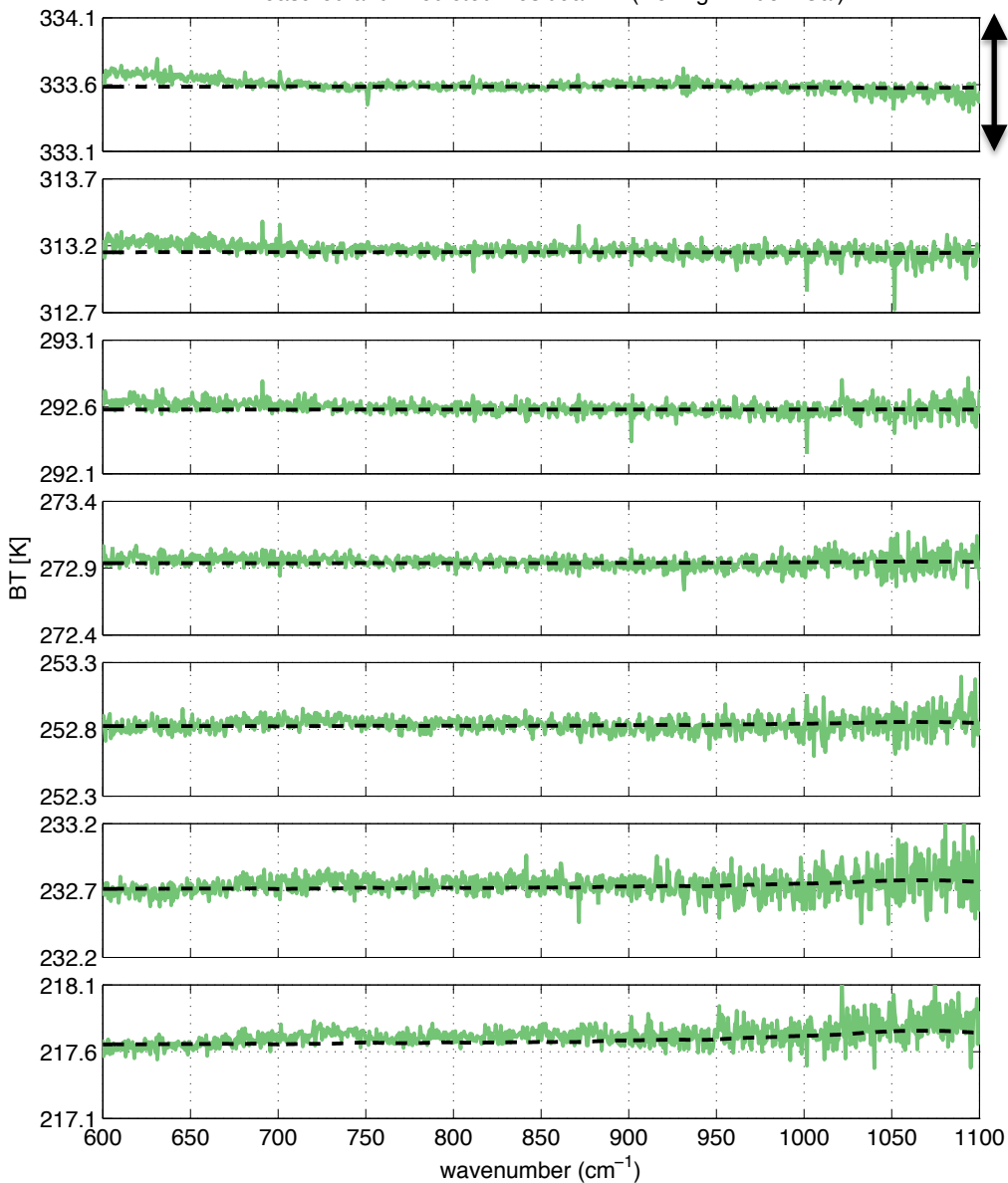


◆ Obs - Pred — Combined RU - - -  $\pm 0.1K$

Residual

# Demonstration of Required Radiometric Accuracy, LW MCT

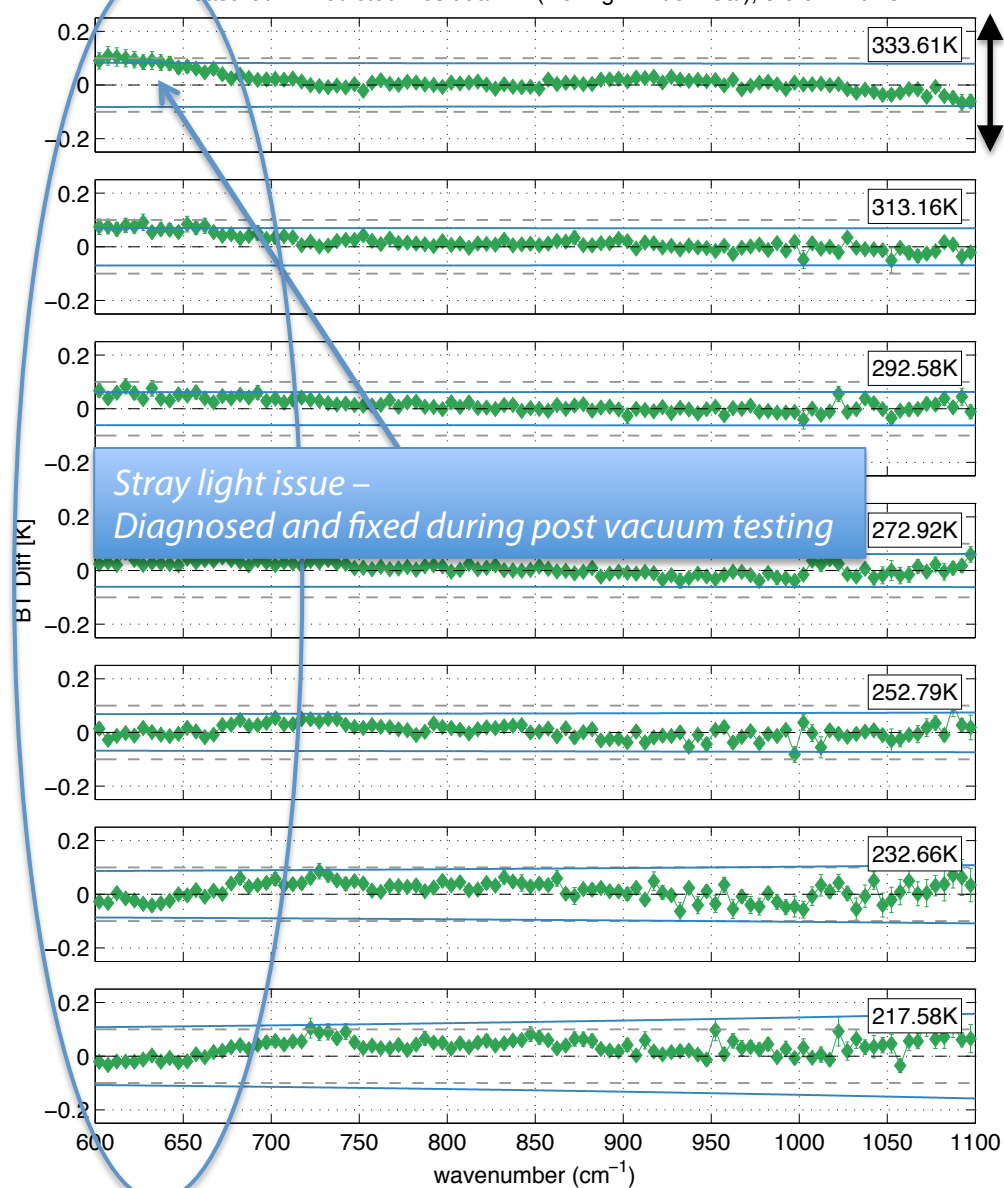
LW ARI Calibration Verification Summary,  
Measured and Predicted Residual BT (Rolling Window Cal)  $\pm 0.5K$



— BT Obs - - - BT Pred

Observed and Predicted

LW ARI Calibration Verification Summary  
Measured - Predicted Residual BT (Rolling Window Cal), 5.0 cm<sup>-1</sup> bins  $\pm 0.25K$



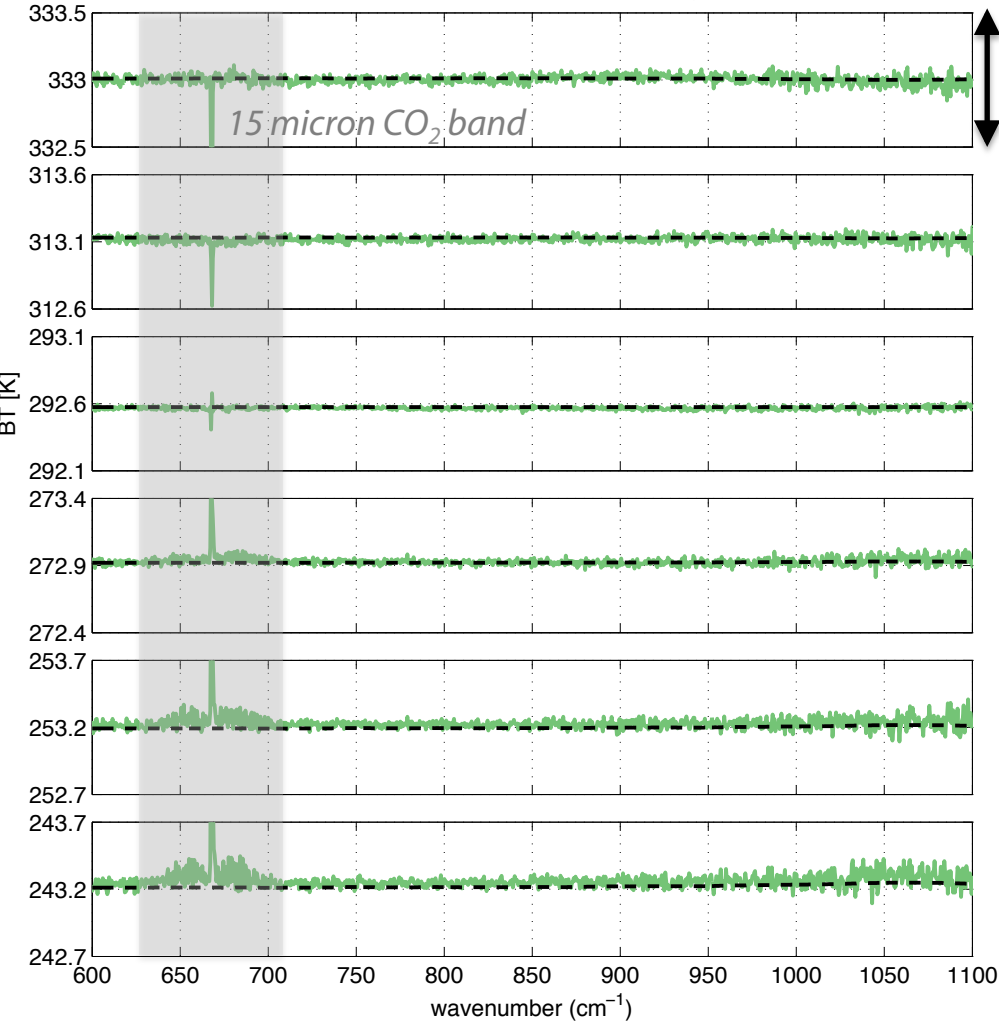
◆ Obs - Pred — Combined RU - - -  $\pm 0.1K$

Residual

# Demonstration of Required Radiometric Accuracy, LW MCT 2015 Data Collect – Dry Air Purge

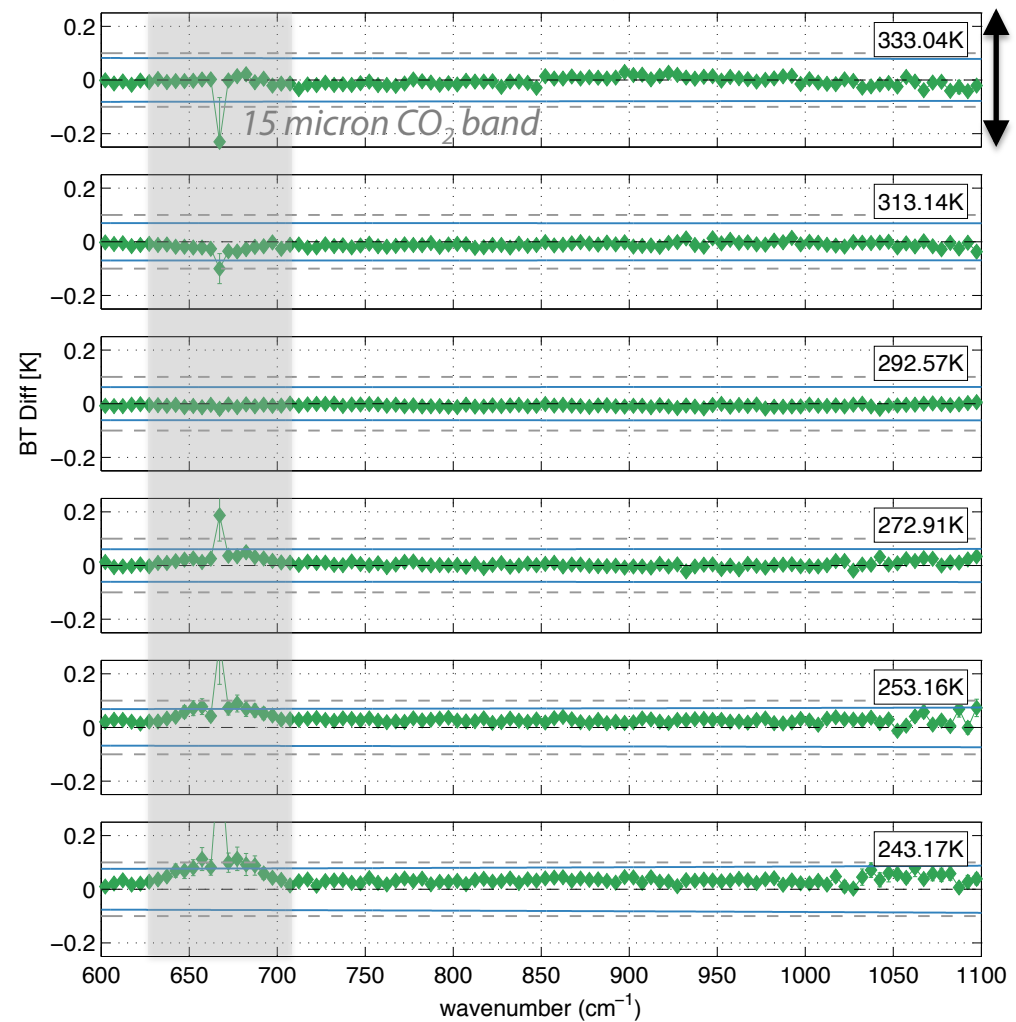
LW ARI Calibration Verification Summary,  
Measured and Predicted Residual BT (Rolling Window Cal)

$\pm 0.5K$



LW ARI Calibration Verification Summary  
Measured – Predicted Residual BT (Rolling Window Cal),  $5.0\ cm^{-1}$  bins

$\pm 0.25K$



2015 Data Collect – Confirms small stray light issue diagnosed and fixed during post vacuum testing

— BT Obs - - - BT Pred

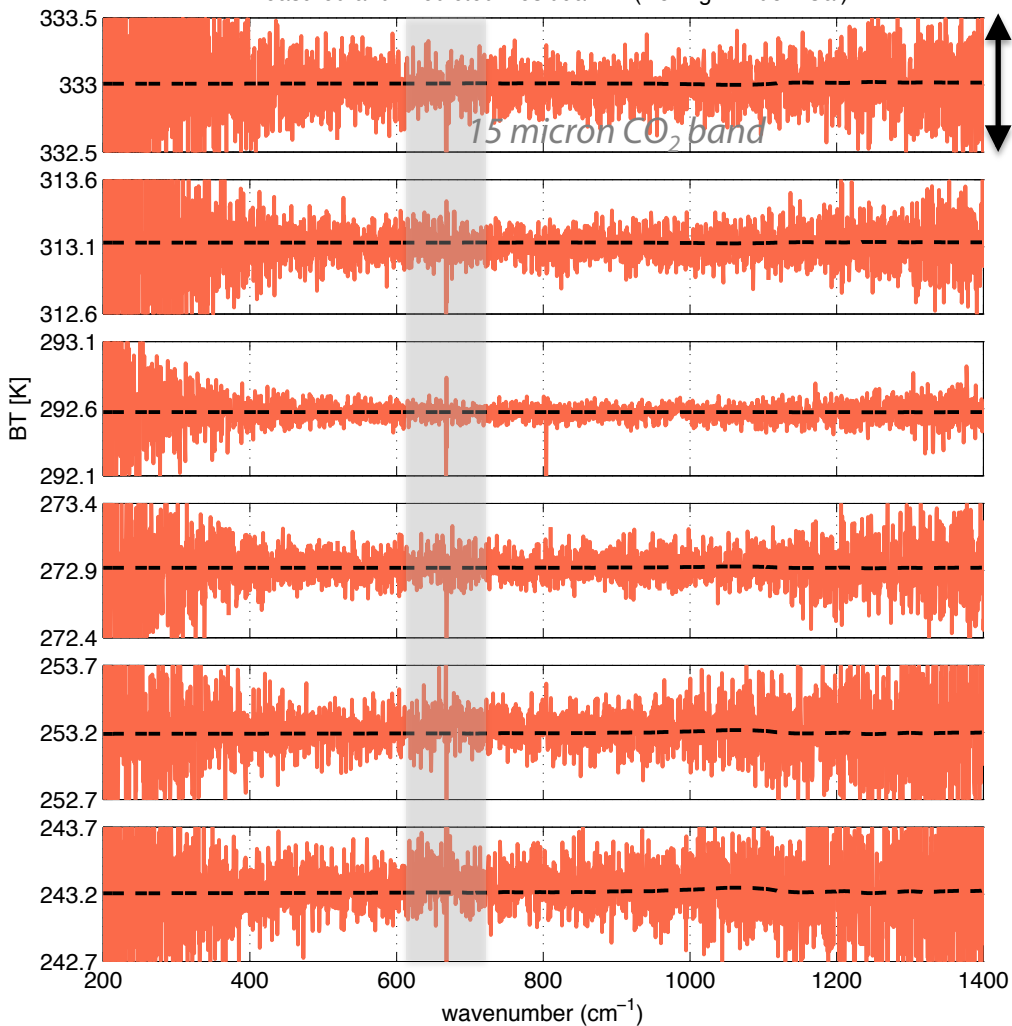
◆ Obs – Pred — Combined RU - - -  $\pm 0.1K$

Observed and Predicted

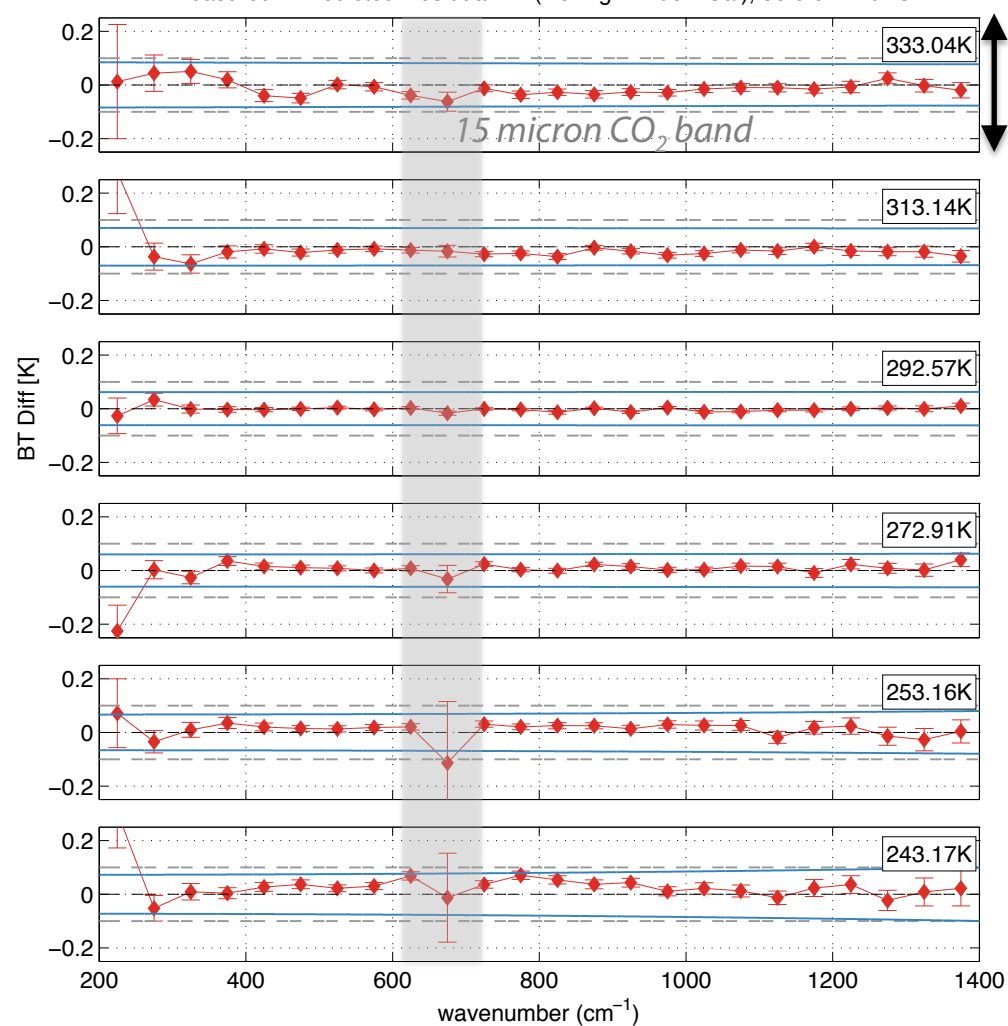
Residual

# Demonstration of Required Radiometric Accuracy, DTGS 2015 Data Collect – Dry Air Purge

FIR ARI Calibration Verification Summary,  
Measured and Predicted Residual BT (Rolling Window Cal)  $\pm 0.5K$



FIR ARI Calibration Verification Summary  
Measured – Predicted Residual BT (Rolling Window Cal), 50.0 cm<sup>-1</sup> bins  $\pm 0.25K$



2015 Data Collect – Confirms small stray light issue diagnosed and fixed during post vacuum testing

— BT Obs - - - BT Pred

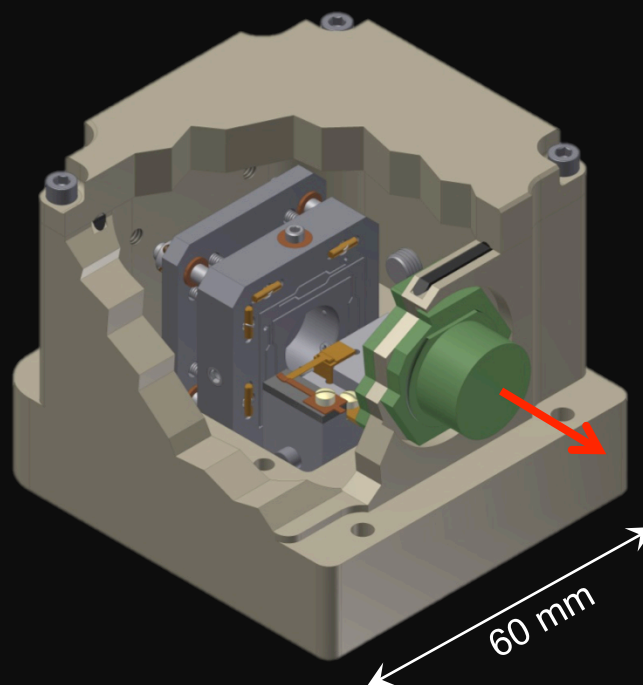
◆ Obs – Pred — Combined RU - - -  $\pm 0.1K$

Observed and Predicted

Residual



# Current Work: QCL integration, OSRM with QCL, and OCEM-QCL Testing



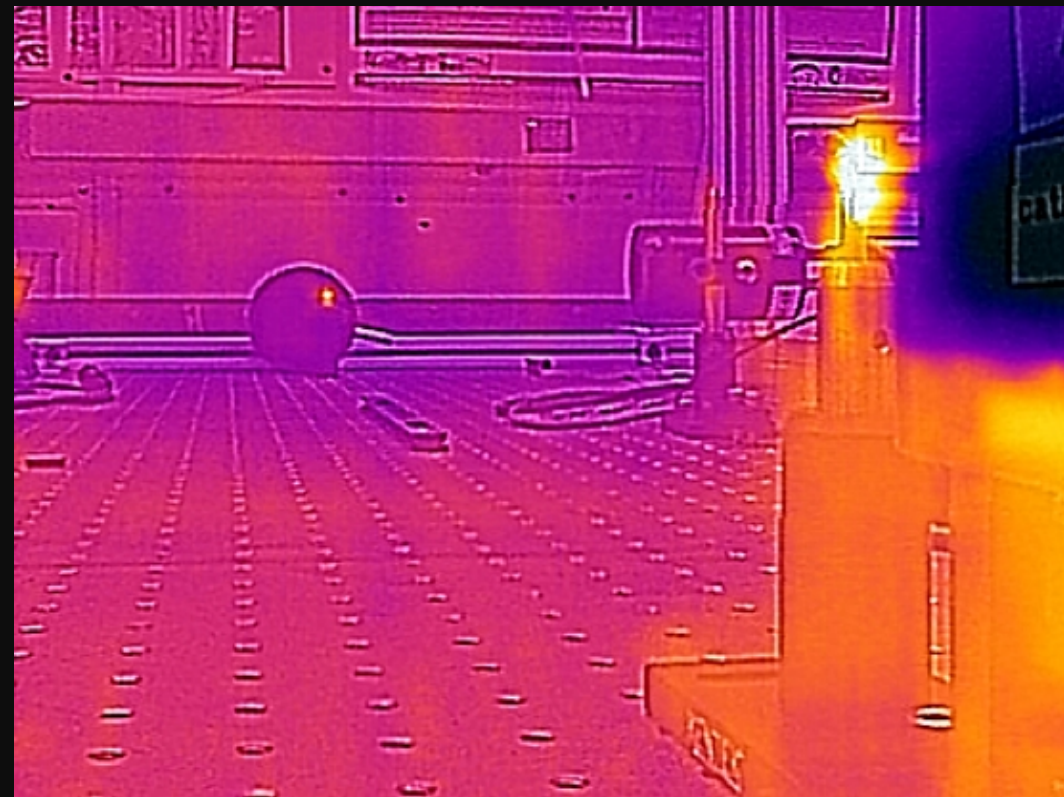
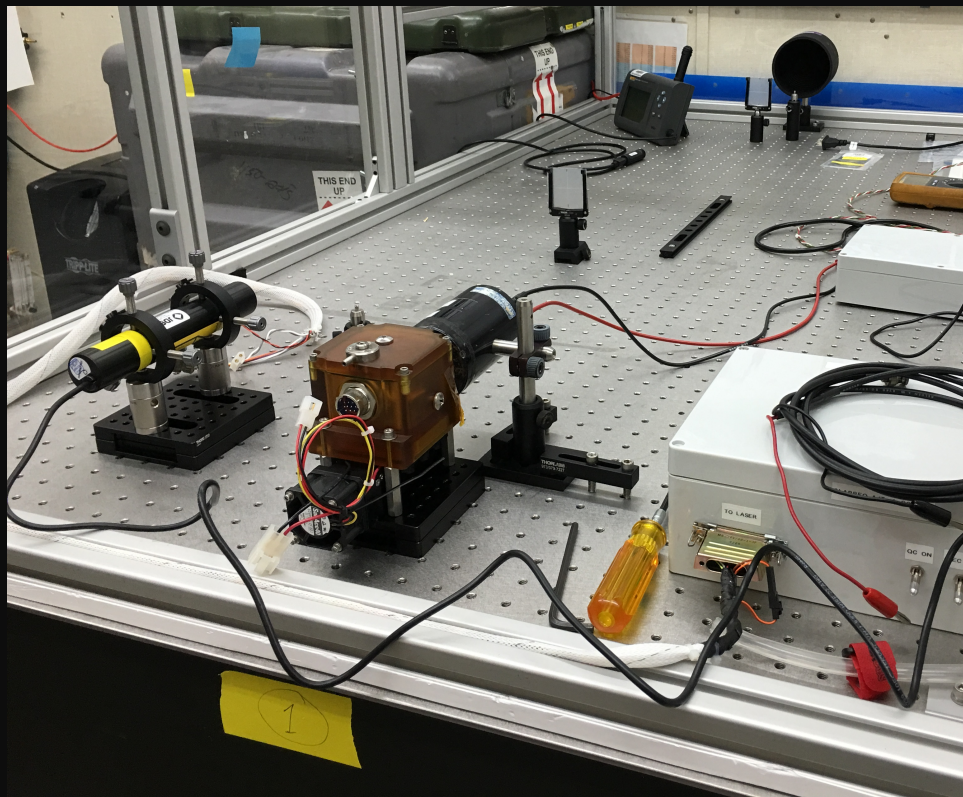
Output:  
9.5  $\mu\text{m}$   
80 mW

- QCL developed by Harvard under an IIP and brought to TRL 6 through testing under vacuum
- QCL is injected through the Scene Selection Mirror into the OARS and ABB for emissivity comparison, and an Integrating Sphere for ILS measurement
- Laser output power is determined during the Instrument Line Shape (ILS) measurement while viewing the Integrating Sphere



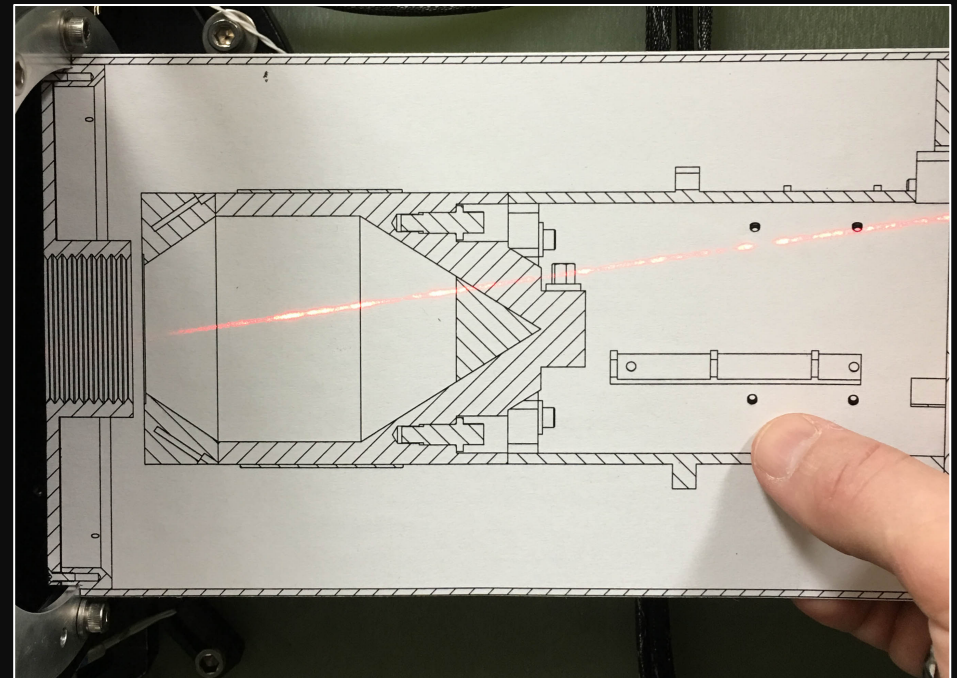
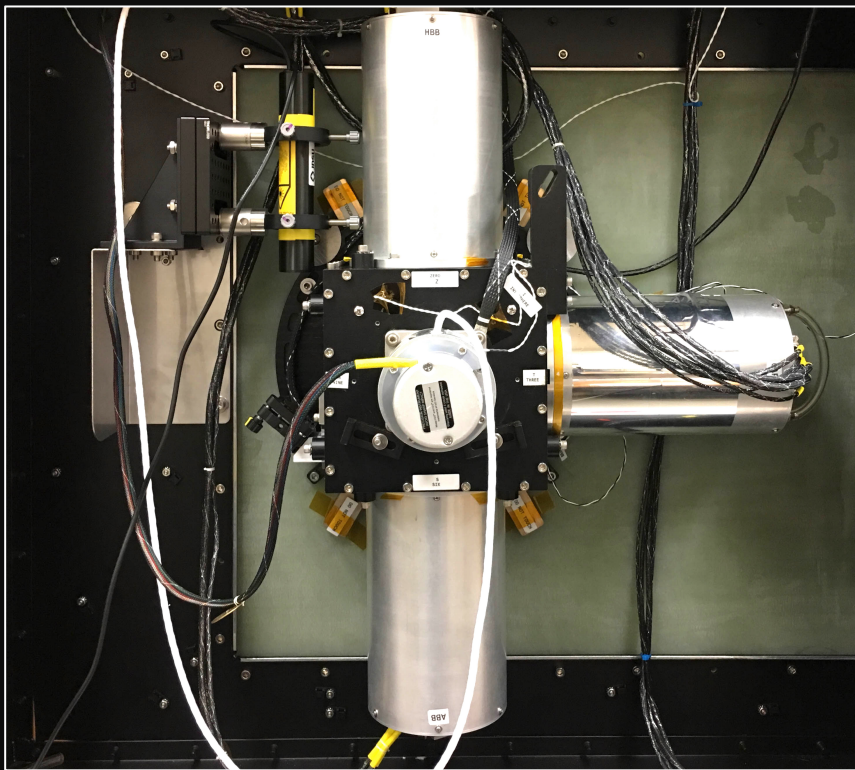
# QCL Testing: Bore-sight with HeNe

- Magnetic locking kinematic bases, combination of custom and COTS opto-mechanical elements
- Bore-sighted from approximately 10 – 180 cm



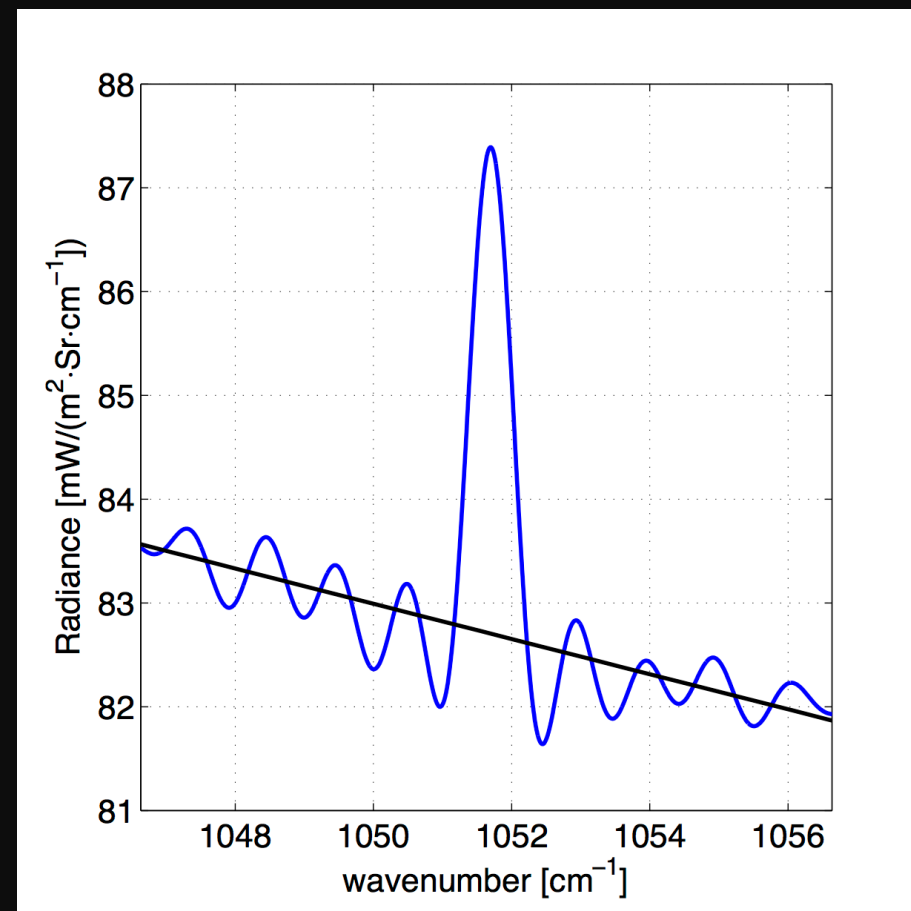
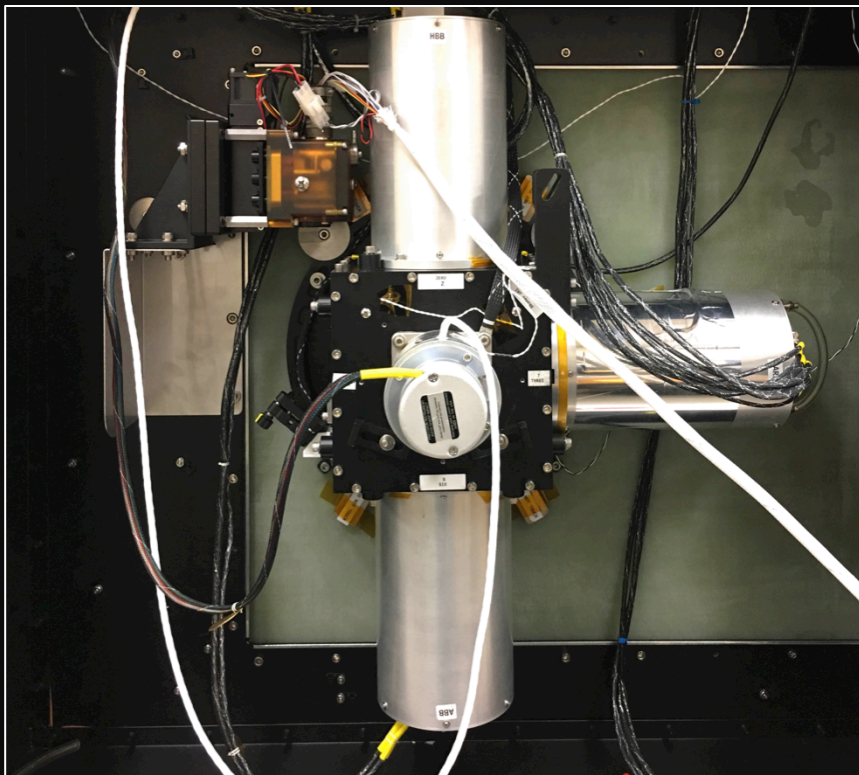
# OCEM-QCL Testing: Positional Dependence

- Direct injection of laser into blackbody via tip-tilt mirror
- Measurements to be made at a range of positions across the cone of the blackbody

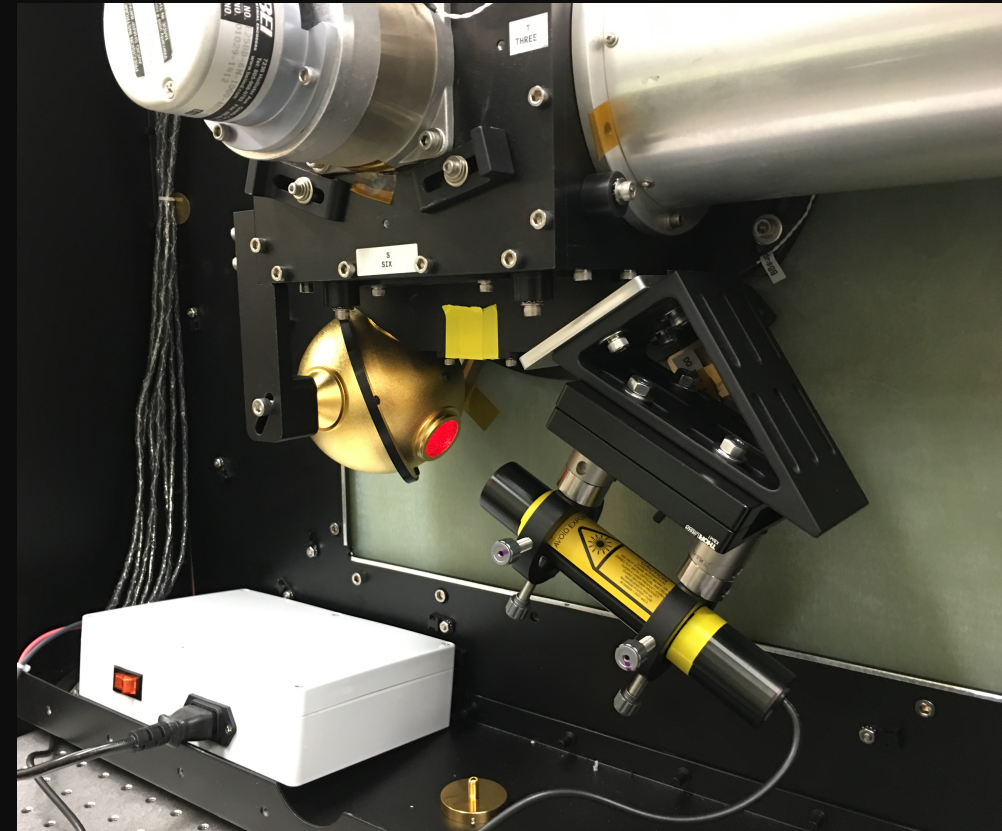
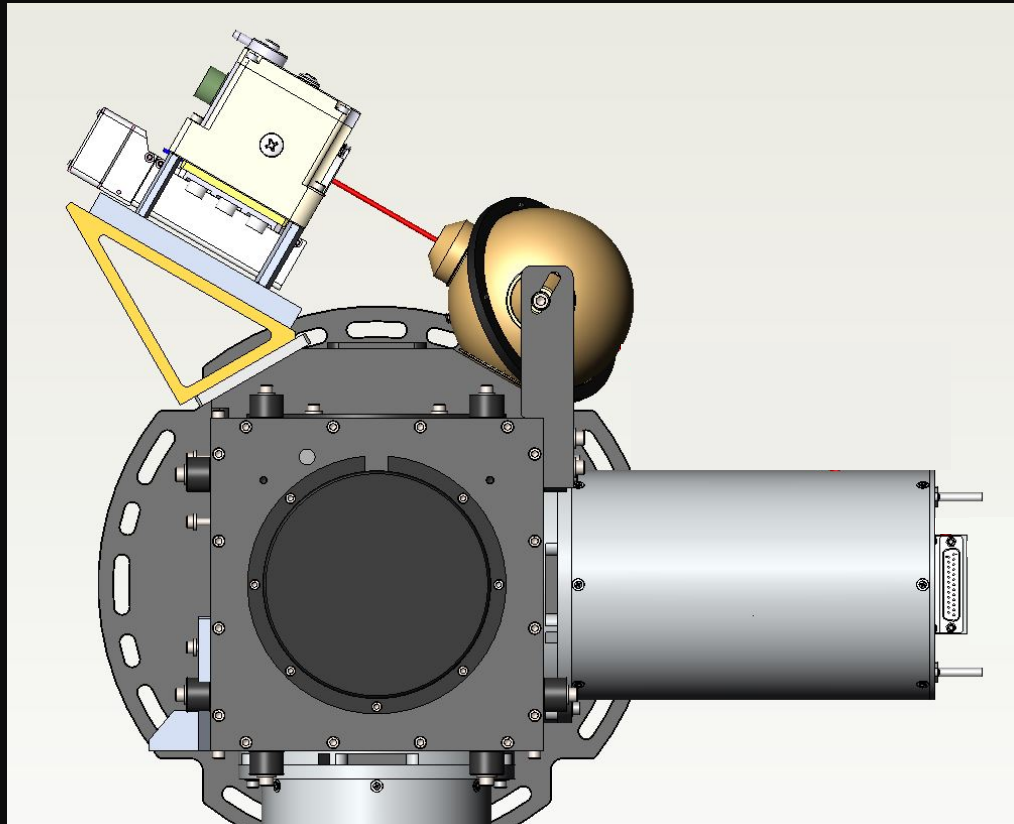


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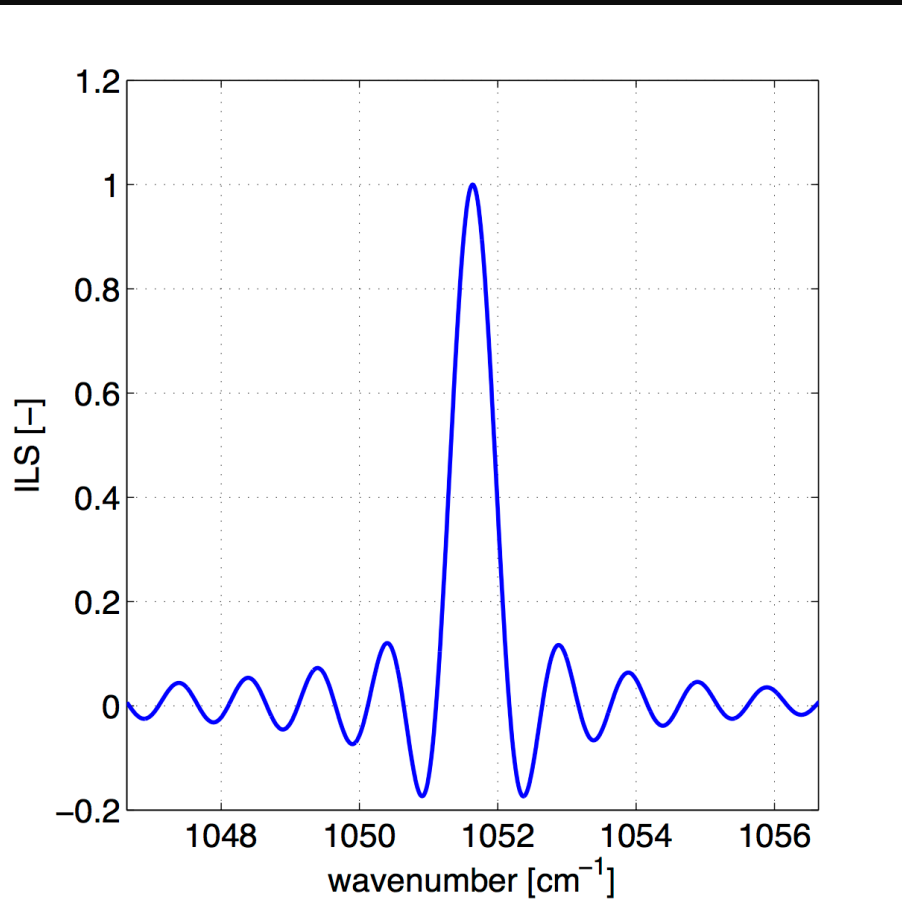


# OSRM-QCL Testing: Direct Injection Configuration



- Comparison with CO<sub>2</sub> laser based ILS results
- Reference ILS for measurements completed using laser injection via SSM

# OSRM-QCL Testing: Direct Injection Configuration

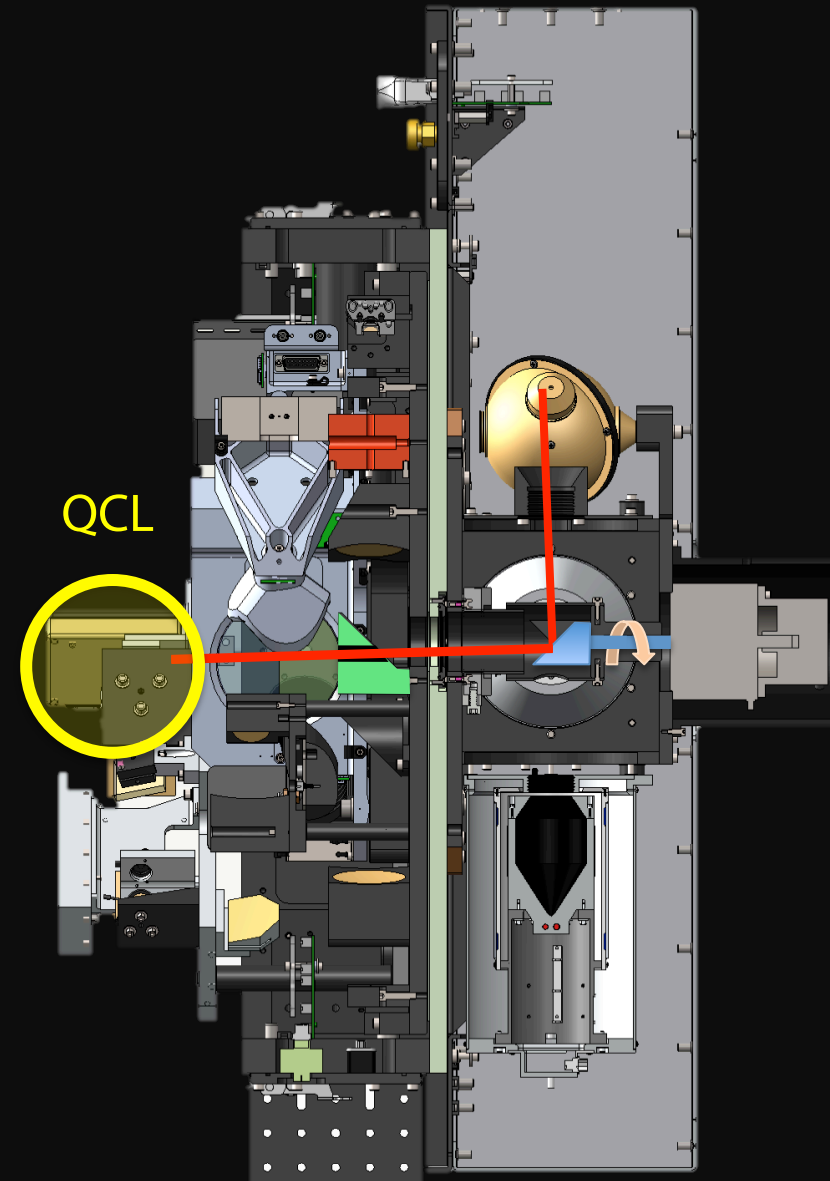
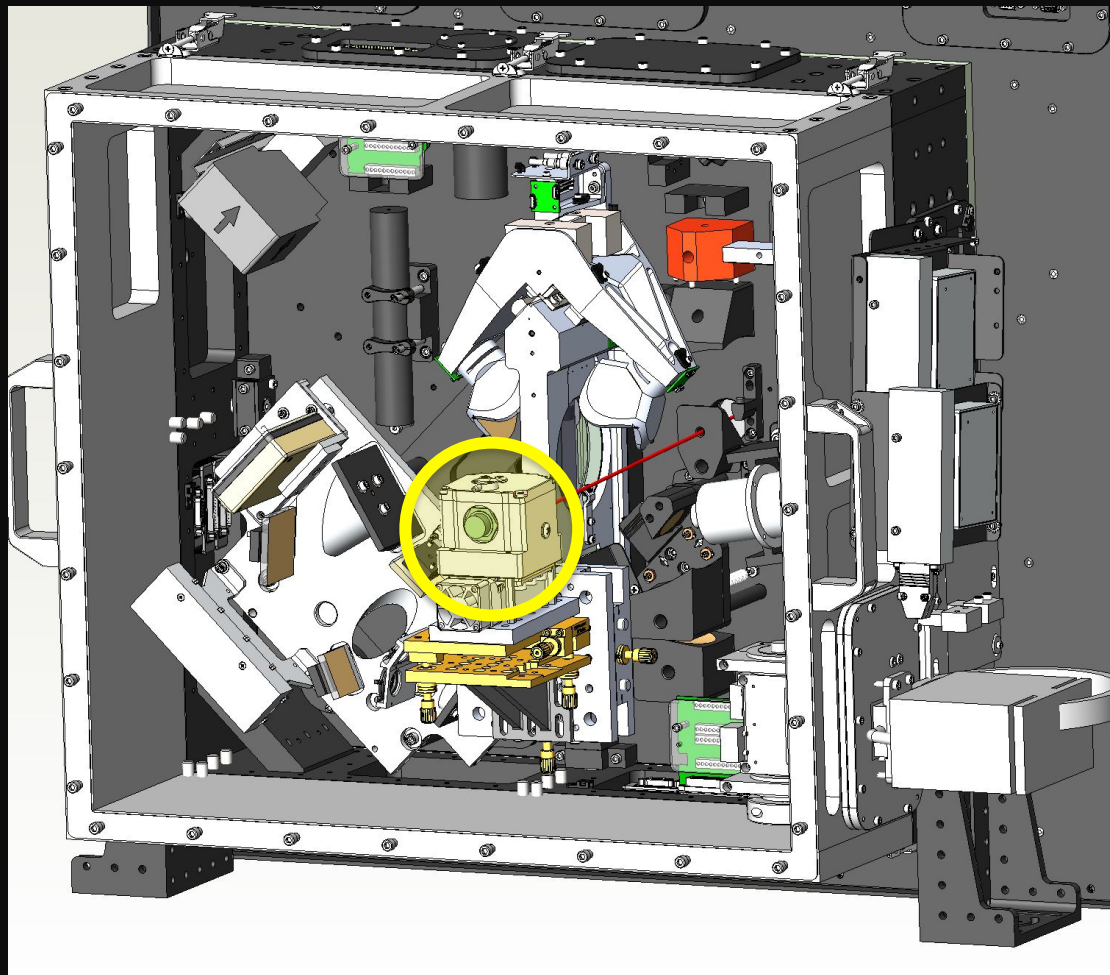


- Compare with CO<sub>2</sub> laser based ILS results
- Reference ILS for measurements completed using laser injection via SSM

# OSRM-QCL and OCEM QCL: Injection via SSM

The QCL is reflected off of the center of the Scene Mirror from the back of the optical system, allowing all targets to be illuminated in identical fashion, while being viewed by the FTS.

Side View



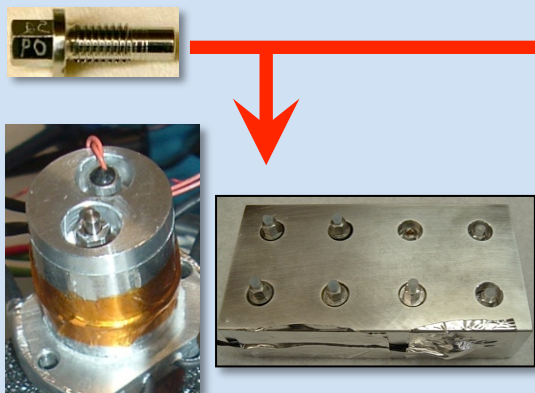
# Conclusion

- A CLARREO IR Pathfinder would provide
  1. economical risk reduction for the full CLARREO mission,
  2. a chance to improve the overall accuracy of operational environmental satellite capabilities, and
  3. leverage them to start a global benchmark record.
- The ARI and OVTS have passed NASA ESTO TRL assessments and laboratory test results show that it meets CLARREO mission performance requirements
- The demonstration has been accomplished with a design that is representative of a flight instrument, and makes use of components with strong space flight heritage (direct analogs with high TRL), with a short path to a full flight prototype
- The RS Pathfinder effort is underway
- The IR Pathfinder is in limbo
- The full mission remains in “Extended pre-Phase A”

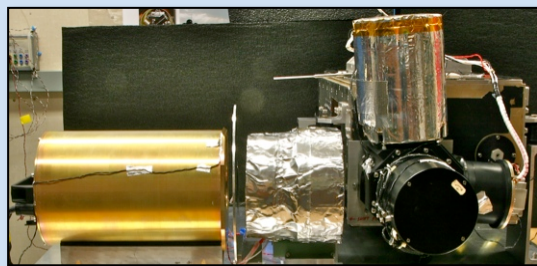


# ARI Technology Development

Miniature Phase Change Cell (MPCC)



MPCC Component Integration, Characterization and Accelerated Life Testing

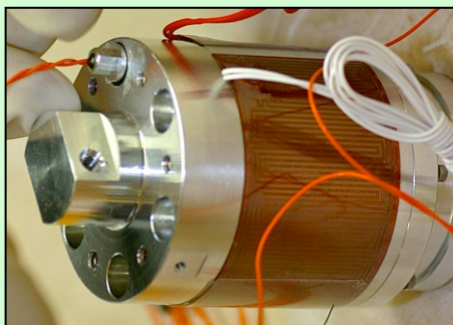


Heated Halo Generation-1 (Breadboard Halo, AERI BB with Scanning HIS Aircraft FTIR)

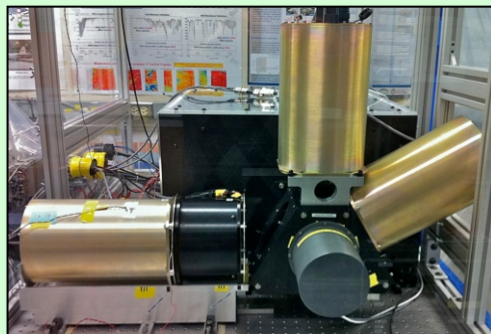


Absolute Radiance Interferometer (ARI) Breadboard

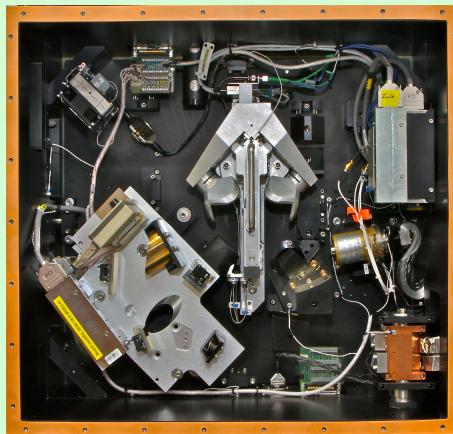
**TRL 4**



Integration of MPCC into Breadboard Blackbody for Thermal Testing

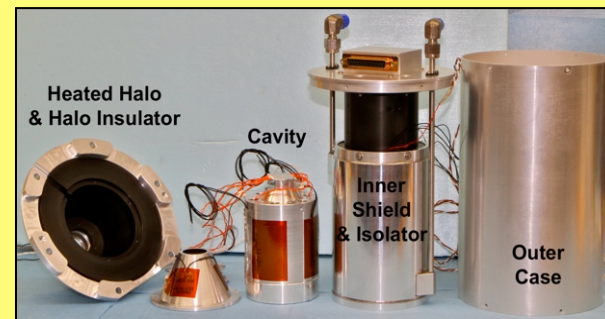
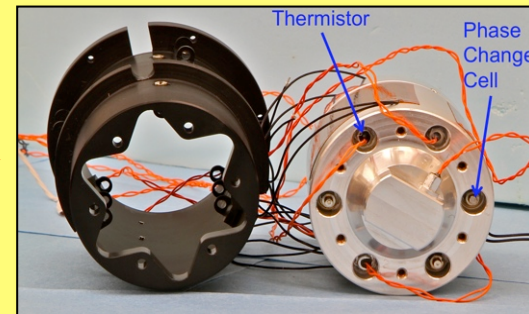


Heated Halo Generation-2 (Large Conical Halo, AERI BB with ARI Breadboard FTIR)

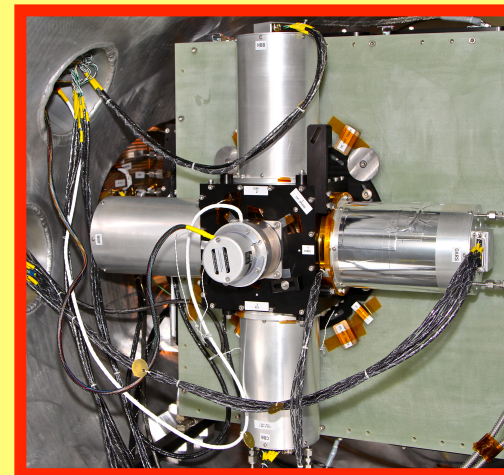


Absolute Radiance Interferometer Prototype

**TRL 5**



On-Orbit Absolute Radiance Standard: New 30 mm Aperture BB with MPCC integrated into cavity, and Heated Halo



ARI Prototype Tested in Vacuum

**TRL 6**

Ready for Flight Program

# BACK-UP MATERIAL

# Requirements on Quality, Instrument Specifications, and Measurement Approach

| Science Objectives   | Scientific Measurement Requirements  |  | Instrument Requirements   |  | Mission Functional Requirements  |
|--|--|--|---|--|--|
|  | Observables  | Data Products  | Instrument Functional Rqmt  | Projected Performance                                    |  |
| Benchmarking Radiance for Climate Change: provide a benchmark of the thermal infrared radiance spectrum against which similar future observations can be compared to infer atmospheric change with credibility   | Thermal infrared upwelling radiance, spectral range 200 to 2000 $\text{cm}^{-1}$ , spectral resolution 1.0 $\text{cm}^{-1}$ , with sampling adequate to achieve <0.1 K (k=2) accuracy in zonal, annual averages for 15° latitude bins                        | Example Products include: spectral radiance (or brightness T) temporal and spatial means and higher moments (standard deviation, skewness, kurtosis) & distribution functions for spatial bins of 10°-15° zonal, 15° x 30° lat x lon, and climatological regions over monthly to annual time scales. | Radiometric Calibration: 0.1 K (k=3)  | <0.07 K (k=3) for all wavelengths and scene temperatures | Orbit: One precessing 90° polar orbit for global coverage (full CLARREO Mission), and 52° ISS orbit combined with intercalibration (Pathfinder Mission)<br><br>Nadir pointing: control <2° knowledge <0.2°<br><br>Sampling: Interferograms collected continuously every 5 sec. -- scene mirror used to sequence through a calibration cycle every 20 sec. (Earth, Space, Ambient Blackbody; and view of OVTS)<br><br>Thermal environment: Provide a temperature of 223 K or below for OARS heat sink, and an optical bench temperature of 303 ±5 K with stability of <0.05 K/min<br><br>Mission life: 5-year<br><br>Unobstructed FOVs: Provide for nadir Earth viewing and two space views: one at 90°, 180° or 270° measured from Nadir normal to orbit plane, and one at 135° or 225°<br><br>Mass: 138 kg<br><br>power (ave): 116 W<br><br>Data Rate: 132 kb/s |
|  |  |  | Spectral Resolution ( $\Delta\nu$ ): <1 $\text{cm}^{-1}$  | 0.625 $\text{cm}^{-1}$ maximum OPD=0.8 cm                |  |
| Spectral Coverage: 200-2800 $\text{cm}^{-1}$   | 200-2800 $\text{cm}^{-1}$  |  |   |  |  |
| Spectral Calibration: 1ppm   | <1ppm  |  |   |  |  |
| Instrument lineshape (ILS): <1% of $\Delta\nu$   | <1% of $\Delta\nu$   |  |   |  |  |
| Footprint Diameter: 25-100 km  | 40 km  |  |   |  |  |
| Sampling Intervals: <250 km  | 145 km   |  |   |  |  |
| NEdT Mid-infrared: 0.5 K at 290 K for 650-2300 $\text{cm}^{-1}$ (state-of-the-art acceptable for 2300-2800 $\text{cm}^{-1}$ )  | <0.3 K for 700-2300 $\text{cm}^{-1}$<br><0.5 K for 650-700 $\text{cm}^{-1}$  |  |   |  |  |
| NEdT Far-infrared: 5 K at 290 K for 350-1000 $\text{cm}^{-1}$ (state-of-the-art acceptable for 200-350 $\text{cm}^{-1}$ )  | <3 K for 450-1000 $\text{cm}^{-1}$<br><4 K for 350-450 $\text{cm}^{-1}$  |  |   |  |  |
| Benchmarking Radiance for Climate Forecast testing: provide a benchmark of the thermal infrared radiance spectrum against which future similar observations can be compared to infer longwave forcings and longwave feedbacks for testing climate models | Thermal infrared upwelling radiance, spectral range 200 to 2000 $\text{cm}^{-1}$ , spectral resolution 1.0 $\text{cm}^{-1}$ , with sampling adequate to achieve <0.1 K (k=2) accuracy in zonal, annual averages for 15° latitude bins                        | Similar climate products directly related to physical properties (temperature and water vapor profiles, lapse rates, CO2 and other trace gases, cloud properties) from application of fingerprinting and linearized retrieval techniques   | OVTS radiance accuracy requirement: 0.1 K (k=3)   | <0.07 K (k=3) for all wavelengths and scene temperatures |  |
|  |  |  | OVTS calibration temperature accuracy requirement: 0.01 K   | 0.005 K  |  |
| Benchmarking Radiance for Intercalibration: provide a benchmark of the thermal spectrum that can provide reference intercalibration for other sensors  | Thermal infrared upwelling radiance, spectral range 650 to 2800 $\text{cm}^{-1}$ , spectral resolution 1.0 $\text{cm}^{-1}$ , sampling sufficient to provide single channel intercalibration uncertainty for operational sounders of 0.1 K (k=3) in 6 months | GSICS intercalibration of operational sounders for weather and climate process studies   | OVTS Emissivity accuracy requirement: 0.1%  | 0.06%  |  |
|  |  |  | OVTS lineshape width measurement accuracy: 0.5% of $\Delta\nu$  | <0.3% of $\Delta\nu$                                     |  |
|  |  | Second climate benchmark covering ±82 latitude constructed using intercalibrated CrIS on Suomi NPP/JPSS, IASI on MetOp, and GIIRS on China's FY3   | Operate continuously for at least 6 months for intercalibration and a goal of 5 years for benchmarking climate change | 5 years  |  |